# Biharmonic Hermitian vector bundles over compact Kähler manifolds and compact Einstein Riemannian manifolds

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**Abstract.** We show, for every Hermitian vector bundle  $\pi : (E, g) \to (M, h)$  over a compact Kähler Einstein manifold (M, h), if the projection  $\pi$  is biharmonic, then it is harmonic. On a biharmonic Hermitian vector bundle over a compact Riemannian manifold with positive Ricci curvature, we show a new estimate of the first eigenvalue of the Laplacian.

**Keywords:** biharmonic maps, harmonic maps, Kähler Einstein manifolds, Hermitian vector bundles

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#### Introduction

Research of harmonic maps, which are critical points of the energy functional, is one of the central problems in differential geometry including minimal submanifolds. The Euler-Lagrange equation is given by the vanishing of the tension field. In 1983, Eells and Lemaire ([8]) proposed to study biharmonic maps, which are critical points of the bienergy functional, by definition, half of the integral of square of the norm of tension field  $\tau(\varphi)$  for a smooth map  $\varphi$  of a Riemannian manifold (M, q) into another Riemannian manifold (N, h). After a work by G.Y. Jiang [21], several geometers have studied biharmonic maps (see [4], [12], [13], [20], [24], [26], [38], [39], etc.). Note that a harmonic maps is always biharmonic. One of central problems is to ask whether the converse is true. B.-Y. Chen's conjecture is to ask whether every biharmonic submanifold of the Euclidean space  $\mathbb{R}^n$  must be harmonic, i.e., minimal ([5]). There are many works supporting this conjecture ([7], [10], [22], [1]). However, B.-Y. Chen's conjecture is still open. R. Caddeo, S. Montaldo, P. Piu ([4]) and C. Oniciuc ([36]) raised the generalized B.-Y. Chen's conjecture to ask whether each biharmonic submanifold in a Riemannian manifold (N, h) of non-positive sectional curvature must be harmonic (minimal). For the generalized Chen's conjecture,

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Ou and Tang gave ([37], [38]) a counter example in some Riemannian manifold of negative sectional curvature. But, it is also known (cf. [27], [28], [30]) that every biharmonic map of a complete Riemannian manifold into another Riemannian manifold of non-positive sectional curvature with finite energy and finite bienergy must be harmonic. For the target Riemannian manifold (N, h) of non-negative sectional curvature, theories of biharmonic maps and biharmonic immersions seems to be quite different from the case (N, h) of non-positive sectional curvature. There exit biharmonic submanifolds which are not harmonic in the unit sphere. S. Ohno, T. Sakai and myself [33], [34] determined (1) all the biharmonic hypersurfaces in irreducible symmetric spaces of compact type which are regular orbits of commutative Hermann actions of cohomogeneity one, and gave (2) a complete table of all the proper biharmonic singular orbits of commutative Hermann actions of cohomogeneity two, and (3) a complete list of all the proper biharmonic regular orbits of  $(K_2 \times K_1)$ -actions of cohomogeneity one on G for every commutative compact symmetric triad  $(G, K_1, K_2)$ . We note that recently Inoguchi and Sasahara ([19]) investigated biharmonic homogeneous hypersurfaces in compact symmetric spaces. Sasahara ([40]) classified all biharmonic real hypersurfaces in a complex projective space, and Ohno studied biharmonic orbits of isotropy representations of symmetric spaces in the sphere (cf. [31], [32]).

In this paper, we treat with an Hermitian vector bundle  $(E,g) \to (M,h)$ over a compact Riemannian manifold (M,h). We assume (M,h) is a compact Kähler Einstein Riemannian manifold, that is, the Ricci transform Ric<sup>h</sup> of the Kähler metric h on M satisfies Ric<sup>h</sup> = c Id, for some constant c. Then, we show the following (cf. Theorems 4 and 5):

**Theorem 1.** Let  $\pi$ :  $(E,g) \to (M,h)$  be an Hermitian vector bundle over a compact Kähler Einstein Riemannian manifold (M,h). If  $\pi$  is biharmonic, then it is harmonic.

**Theorem 2.** Let  $\pi$ :  $(E,g) \to (M,h)$  be a biharmonic Hermitian vector bundle over a compact Einstein manifold (M,h) with Ricci curvature  $\operatorname{Ric}^{h} = c$ for some positive constant c > 0. Then, either (i)  $\pi$  is harmonic, (ii)  $f_{0} =$  $\langle \tau(\pi), \tau(\pi) \rangle$  is constant, or (iii) the first eigenvalue  $\lambda_{1}(M,h)$  of (M,h) satisfies the following inequality:

$$0 < \frac{n}{n-1} c \le \lambda_1(M,h) \le \frac{2c}{1-X},$$
(0.1)

where

$$0 < X := \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h} < 1, \tag{0.2}$$

and  $f_0 := \langle \tau(\pi), \tau(\pi) \rangle \in C^{\infty}(M)$  is the pointwise inner product of the tension field  $\tau(\pi)$ .

The inequalities (1) and (2) can be rewritten as follows:

$$-1 < \frac{2-n}{n} = 1 - 2\frac{n-1}{n} \le 1 - \frac{2c}{\lambda_1(M,h)} \le \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h} < 1.$$
(0.3)

Theorem 1 shows the sharp contrasts on the biharmonicities between the case of vector bundles and the one of the principle *G*-bundles. Indeed, we treated with the biharmonicity of the projection of the principal *G*-bundle over a Riemannian manifold (M, h) with negative definite Ricci tensor field (cf. Theorem 2.3 in [45]). In Theorem 2, the behavior of the quantity  $1 - \frac{2c}{\lambda_1(M,h)}$  in (3) is very important. Indeed,  $0 \leq 1 - \frac{2c}{\lambda_1(M,h)}$  if and only if  $2c \leq \lambda_1(M,h)$  which is the theorem of M. Obata (cf [42] p.181, Theorem (3.23)), and  $-\frac{n-1}{n} \leq 1 - \frac{2c}{\lambda_1(M,h)} < 0$  if and only if  $\frac{n}{n-1}c \leq \lambda_1(M,h) < 2c$  under the condition  $\operatorname{Ric}^h \geq c > 0$ , which is the theorem of Lichnerowicz and Obata [42], p.182, Theorem (3.26).

We give an example of the projection of the principal G-bundle over a Riemannian manifold (M, h) which is biharmonic but not harmonic (cf. Example 1 in this paper, and also Theorem 5 in [46]). Finally, it should be mentioned that Oniciuc ([36]) gave examples of non-harmonic biharmonic projections of the tangent bundle over a compact Riemannian manifold, which has a sharp contrast our case of the Hermitian vector bundles over a compact Kähler-Einstein manifold.

## **1** Preliminaries

In this section, we prepare materials for the first variation formula for the bi-energy functional and bi-harmonic maps. Let us recall the definition of a harmonic map  $\varphi : (M,g) \to (N,h)$ , of a comoact Riemannian manifold (M,g) into another Riemannian manifold (N,h), which is an extremal of the *energy* functional defined by

$$E(\varphi) = \int_M e(\varphi) \, v_g,$$

where  $e(\varphi) := \frac{1}{2} |d\varphi|^2$  is called the energy density of  $\varphi$ . That is, for all variation  $\{\varphi_t\}$  of  $\varphi$  with  $\varphi_0 = \varphi$ ,

$$\left. \frac{d}{dt} \right|_{t=0} E(\varphi_t) = -\int_M h(\tau(\varphi), V) v_g = 0, \tag{1.1}$$

where  $V \in \Gamma(\varphi^{-1}TN)$  is a variation vector field along  $\varphi$  which is given by  $V(x) = \frac{d}{dt}|_{t=0}\varphi_t(x) \in T_{\varphi(x)}N$   $(x \in M)$ , and the *tension field* of  $\varphi$  is given by  $\tau(\varphi) = \sum_{i=1}^{m} B(\varphi)(e_i, e_i) \in \Gamma(\varphi^{-1}TN)$ , where  $\{e_i\}_{i=1}^{m}$  is a locally defined frame field on (M, g). The second fundamental form  $B(\varphi)$  of  $\varphi$  is defined by

$$B(\varphi)(X,Y) = (\nabla d\varphi)(X,Y)$$
  
=  $(\widetilde{\nabla}_X d\varphi)(Y)$   
=  $\overline{\nabla}_X (d\varphi(Y)) - d\varphi(\nabla_X Y)$   
=  $\nabla_{d\varphi(X)}^N d\varphi(Y) - d\varphi(\nabla_X Y),$  (1.2)

for all vector fields  $X, Y \in \mathfrak{X}(M)$ . Furthermore,  $\nabla$ , and  $\nabla^N$ , are connections on TM, TN of (M, g), (N, h), respectively, and  $\overline{\nabla}$ , and  $\widetilde{\nabla}$  are the induced one on  $\varphi^{-1}TN$ , and  $T^*M \otimes \varphi^{-1}TN$ , respectively. By (4),  $\varphi$  is harmonic if and only if  $\tau(\varphi) = 0$ .

The second variation formula of the energy functional is also well known which is given as follows. Assume that  $\varphi$  is harmonic. Then,

$$\left. \frac{d^2}{dt^2} \right|_{t=0} E(\varphi_t) = \int_M h(J(V), V) v_g, \tag{1.3}$$

where J is an elliptic differential operator, called Jacobi operator acting on  $\Gamma(\varphi^{-1}TN)$  given by

$$J(V) = \overline{\Delta}V - \mathcal{R}(V), \qquad (1.4)$$

where  $\overline{\Delta}V = \overline{\nabla}^* \overline{\nabla}V$  is the rough Laplacian and  $\mathcal{R}$  is a linear operator on  $\Gamma(\varphi^{-1}TN)$  given by  $\mathcal{R}V = \sum_{i=1}^m R^N(V, d\varphi(e_i))d\varphi(e_i)$ , and  $R^N$  is the curvature tensor of (N, h) given by  $R^N(U, V) = \nabla^N{}_U \nabla^N{}_V - \nabla^N{}_V \nabla^N{}_U - \nabla^N{}_{[U,V]}$  for  $U, V \in \mathfrak{X}(N)$ .

J. Eells and L. Lemaire proposed ([8]) polyharmonic (k-harmonic) maps and Jiang studied ([21]) the first and second variation formulas of bi-harmonic maps. Let us consider the *bi-energy functional* defined by

$$E_2(\varphi) = \frac{1}{2} \int_M |\tau(\varphi)|^2 v_g, \qquad (1.5)$$

where  $|V|^2 = h(V, V), V \in \Gamma(\varphi^{-1}TN).$ 

Then, the first variation formula is given as follows.

**Theorem 3.** (the first variation formula)

$$\left. \frac{d}{dt} \right|_{t=0} E_2(\varphi_t) = -\int_M h(\tau_2(\varphi), V) v_g, \tag{1.6}$$

where

$$\tau_2(\varphi) = J(\tau(\varphi)) = \overline{\Delta}\tau(\varphi) - \mathcal{R}(\tau(\varphi)), \qquad (1.7)$$

J is given in (7).

For the second variational formula, see [21] or [12].

**Definition 1.** A smooth map  $\varphi$  of M into N is called to be *bi-harmonic* if  $\tau_2(\varphi) = 0$ .

## 2 The case of compact Kähler manifolds.

To prove Theorem 1, we need the following:

**Proposition 1.** Let  $\pi$ :  $(E,g) \to (M,h)$  be an Hermitian vector bundle over a compact Kähler Einstein manifold (M,h). Assume that  $\pi$  is biharmonic. Then the following hold:

(1) The tension field  $\tau(\pi)$  satisfies that

$$\overline{\nabla}_{X'}\tau(\pi) = 0 \qquad (\forall X' \in \mathfrak{X}(M)). \tag{2.1}$$

(2) The pointwise inner product  $\langle \tau(\pi), \tau(\pi) \rangle = |\tau(\pi)|^2$  is constant on (M, g), say  $d \ge 0$ .

(3) The energy  $E_2(\pi)$  satisfies that

$$E_2(\pi) := \frac{1}{2} \int_M |\tau(\pi)|^2 v_h = \frac{d}{2} \operatorname{Vol}(M, h).$$
 (2.2)

By Proposition 1, Theorem 1 can be proved as follows. Assume that  $\pi$ :  $(E,g) \to (M,h)$  is biharmonic. Due to (11) in Proposition 1, we have

$$\operatorname{div}(\tau(\pi)) := \sum_{i=1}^{n} (\overline{\nabla}_{e'_{i}} \tau(\pi))(e'_{i}) = 0, \qquad (2.3)$$

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where  $\{e'_i\}_{i=1}^n$  is a locally defined orthonormal frame field on (M, h) and we put  $n = \dim_{\mathbb{R}} M$ . Then, for every  $f \in C^{\infty}(M)$ , it holds that, due to Proposition (3.29) in [42], p. 60, for example,

$$0 = \int_{M} f \operatorname{div}(\tau(\pi)) v_{h} = -\int_{M} h(\nabla f, \tau(\pi)) v_{h}.$$
 (2.4)

Therefore, we obtain  $\tau(\pi) \equiv 0$ .

We will prove Proposition 1, later. Here, we give examples of the line bundles over some compact homogeneous Kähler Einstein manifolds (M, h):

**Example 1.** A generalized flag manifold G/H admits a unique Kähler Einstein metric h ([3] and [6]). Here, G is a compact semi-simple Lie group, and H is the centralizer of a torus S in G, i.e.,  $G^{\mathbb{C}}$  is the complexification of G, and B is its Borel subgroup. Then,

$$M = G/H = G^{\mathbb{C}}/B.$$

The Borel subgroup B is written as B = TN, where T is a maximal torus of Band N is a nilpotent Lie subgroup of B. Every character  $\xi_{\lambda}$  of a Borel subgroup B is given as a homomorphism  $\xi_{\lambda} : B \to \mathbb{C}^* = \mathbb{C} - \{0\}$  which is written as

$$\xi_{\lambda}(tn) = \xi_{\lambda}(t) \qquad (t \in T, \ n \in N).$$
(2.5)

Here  $\xi_{\lambda}: T \to U(1)$  is a character of T which is written as

$$\xi_{\lambda}(\exp(\theta_1 H_1 + \dots + \theta_{\ell} H_{\ell})) = e^{2\pi\sqrt{-1}(k_1\theta_1 + \dots + k_{\ell}\theta_{\ell})}, \qquad (\theta_1, \ \dots, \ \theta_{\ell} \in \mathbb{R}),$$
(2.6)

where  $k_1, \ldots, k_\ell$  are non-negative integers, and  $\ell = \dim T$ .

Note that every character  $\xi_{\lambda}$  of a nilpotent Lie group N must be  $\xi_{\lambda}(n) = 1$ because  $\xi_{\lambda}(n) = \xi_{\lambda}(\exp X) = e^{\xi_{\lambda'}(X)}$  where  $n = \exp X$   $(X \in \mathfrak{n})$ , and  $\lambda' : \mathfrak{t} \to \mathbb{C}$ is a homomorphism, i.e.,  $\xi_{\lambda'}(X+Y) = \xi_{\lambda'}(X) + \xi_{\lambda'}(Y)$ ,  $(X, Y \in \mathfrak{t})$ . Then, there exists  $k \in \mathbb{N}$  which satisfies that  $\exp(k X) = n^k = e$ . Then,  $e^{k \xi_{\lambda'}(X)} = \xi_{\lambda}(n^k) = \xi_{\lambda}(e) = 1$ . Thus, for every  $a \in \mathbb{R}$ ,

$$e^{a k \xi_{\lambda'}(X)} = (e^{k \xi_{\lambda'}(X)})^a = 1.$$

This implies that  $k \xi_{\lambda'}(X) = 0$ . Thus,  $\xi_{\lambda'}(X) = 0$  for all  $X \in \mathfrak{n}$ , i.e.,  $\xi_{\lambda'} \equiv 0$ . Therefore, we have that  $\xi_{\lambda}(n) = e \ (n \in N)$ . We have (15).

For every  $\xi_{\lambda}$  given by (15) and (16), we obtain the associated holomorphic vector bundle  $E_{\xi_{\lambda}}$  over  $G^{\mathbb{C}}/B$  as  $E_{\xi_{\lambda}} := \{[x,v]|(x,v) \in G^{\mathbb{C}} \times \mathbb{C}\}$ , where the equivalence relation  $(x,v) \sim (x',v')$  is (x,v) = (x',v') if and only if there exists  $b \in B$  such that  $(x',v') = (xb^{-1},\xi_{\lambda}(b)v)$ , denoted by [x,v], the equivalence class including  $(x,v) \in G^{\mathbb{C}} \times \mathbb{C}$  (for example, [2], [41]).

## **3** Proof of Proposition 1.

For an Hermitian vector bundle  $\pi : (E,g) \to (M,g)$  with  $\dim_{\mathbb{R}} E = m$ , and  $\dim_{\mathbb{R}} M = n$ , let us recall the definitions of the tension field  $\tau(\pi)$  and the bitension field  $\tau_2(\pi)$ :

$$\begin{cases} \tau(\pi) = \sum_{j=1}^{m} \left\{ \overline{\nabla}_{e_j}^h \pi_* e_j - \pi_* \left( \nabla_{e_j}^g e_j \right) \right\}, \\ \tau_2(\pi) = \overline{\Delta} \tau(\pi) - \sum_{j=1}^{m} R^h(\tau(\pi), \pi_* e_j) \pi_* e_j. \end{cases}$$
(3.1)

Then, we have

$$\tau_2(\pi) := \overline{\Delta}\tau(\pi) - \sum_{j=1}^m R^h(\tau(\pi), \pi_* e_j) \pi_* e_j$$
$$= \overline{\Delta}\tau(\pi) - \sum_{j=1}^n R^h(\tau(\pi), e'_j) e'_j$$
(3.2)

$$=\overline{\Delta}\tau(\pi) - \operatorname{Ric}^{h}(\tau(\pi)).$$
(3.3)

Here, recall that  $\pi : (E, g) \to (M, h)$  is the Riemannian submersion and  $\{e_i\}_{i=1}^m$ and  $\{e'_j\}_{j=1}^n$  are locally defined orthonormal frame fields on (E, g) and (M, h), respectively, satisfying that  $\pi_* e_j = e'_j$   $(j = 1, \dots, n)$  and  $\pi_* (e_j) = 0$   $(j = n + 1, \dots, m)$ . Therefore, we have (18) and (19) by means of the definition of the Ricci tensor field Ric<sup>h</sup> of (M, h).

Assume that (M, h) is a real *n*-dimensional compact Kähler Einstein manifold with  $\operatorname{Ric}^h = c \operatorname{Id}$ , where *n* is even. Then, due to (19), we have that  $\pi : (E,g) \to (M,h)$  is biharmonic if and only if

$$\overline{\Delta}\tau(\pi) = c\,\tau(\pi).\tag{3.4}$$

Since  $\langle \tau(\pi), \tau(\pi) \rangle$  is a  $C^{\infty}$  function on a Riemannian manifold (M, h), we have, for each  $j = 1, \dots, n$ ,

$$\begin{aligned} e_j'\langle \tau(\pi), \tau(\pi) \rangle &= \langle \overline{\nabla}_{e_j'} \tau(\pi), \tau(\pi) \rangle + \langle \tau(\pi), \overline{\nabla}_{e_j'} \tau(\pi) \rangle \\ &= 2 \langle \overline{\nabla}_{e_j'} \tau(\pi), \tau(\pi) \rangle, \end{aligned}$$
(3.5)

$$e_{j}^{\prime 2} \langle \tau(\pi), \tau(\pi) \rangle = 2e_{j}^{\prime} \langle \overline{\nabla}_{e_{j}^{\prime}} \tau(\pi), \tau(\pi) \rangle$$
  
=  $2 \langle \overline{\nabla}_{e_{j}^{\prime}} (\overline{\nabla}_{e_{j}^{\prime}} \tau(\pi)), \tau(\pi) \rangle + 2 \langle \overline{\nabla}_{e_{j}^{\prime}} \tau(\pi), \overline{\nabla}_{e_{j}^{\prime}} \tau(\pi) \rangle, \quad (3.6)$ 

$$\nabla_{e'_j} e'_j \langle \tau(\pi), \tau(\pi) \rangle = 2 \langle \overline{\nabla}_{\nabla_{e'_j} e'_j} \tau(\pi), \tau(\pi) \rangle.$$
(3.7)

Therefore, the Laplacian  $\Delta_h = -\sum_{j=1}^n (e'_j{}^2 - \nabla_{e'_j} e'_j)$  acting on  $C^{\infty}(M)$ , so that

$$\Delta_{h} \langle \tau(\pi), \tau(\pi) \rangle =$$

$$= 2 \sum_{j=1}^{n} \left\{ -\langle \overline{\nabla}_{e'_{j}} (\overline{\nabla}_{e'_{j}} \tau(\pi)), \tau(\pi) \rangle - \langle \overline{\nabla}_{e'_{j}} \tau(\pi), \nabla_{e'_{j}} \tau(\pi) \rangle + \langle \overline{\nabla}_{\nabla_{e'_{j}}} \tau(\pi), \tau(\pi) \rangle \right\}$$

$$= 2 \left\langle -\sum_{j=1}^{n} \left\{ \overline{\nabla}_{e'_{j}} \overline{\nabla}_{e'_{j}} - \overline{\nabla}_{\nabla_{e'_{j}} e'_{j}} \right\} \tau(\pi), \tau(\pi) \right\rangle - 2 \sum_{j=1}^{n} \left\langle \overline{\nabla}_{e'_{j}} \tau(\pi), \overline{\nabla}_{e'_{j}} \tau(\pi) \right\rangle$$

$$= 2 \left\langle \overline{\Delta} \tau(\pi), \tau(\pi) \right\rangle - 2 \sum_{j=1}^{n} \left\langle \overline{\nabla}_{e'_{j}} \tau(\pi), \overline{\nabla}_{e'_{j}} \tau(\pi) \right\rangle$$

$$(3.9)$$

$$\leq 2 \langle \overline{\Delta} \tau(\pi), \tau(\pi) \rangle, \tag{3.10}$$

because of  $\langle \overline{\nabla}_{e'_j} \tau(\pi), \overline{\nabla}_{e'_j} \tau(\pi) \rangle \ge 0$ ,  $(j = 1, \dots, n)$ . If  $\pi : (E, g) \to (M, h)$  is biharmonic, due to (20),  $\overline{\Delta} \tau(\pi) = c \tau(\pi)$ , the right hand side of (25) coincides with

$$(25) = 2c \langle \tau(\pi), \tau(\pi) \rangle - 2 \sum_{j=1}^{n} \langle \overline{\nabla}_{e'_j} \tau(\pi), \overline{\nabla}_{e'_j} \tau(\pi) \rangle$$
(3.11)

$$\leq 2c \langle \tau(\pi), \tau(\pi) \rangle. \tag{3.12}$$

Remember that due to M. Obata's theorem, (see Proposition 2 below),

$$\lambda_1(M,h) \ge 2c,\tag{3.13}$$

since  $\operatorname{Ric}_h = c \operatorname{Id}$ , And the equation in (28) holds, i.e.,  $\lambda_1(M, h) = 2c$  and

$$\Delta_h \langle \tau(\pi), \tau(\pi) \rangle = 2c \langle \tau(\pi), \tau(\pi) \rangle \tag{3.14}$$

holds. Then, (29) implies that the equality in the inequality (28) holds. We have that

$$\sum_{j=1}^{n} \langle \overline{\nabla}_{e'_j} \tau(\pi), \overline{\nabla}_{e'_j} \tau(\pi) \rangle = 0, \qquad (3.15)$$

which is equivalent to that

$$\overline{\nabla}_{X'}\tau(\pi) = 0 \qquad (\forall X' \in \mathfrak{X}(M)). \tag{3.16}$$

Due to (32), for every  $X' \in \mathfrak{X}(M)$ ,

$$X' \langle \tau(\pi), \tau(\pi) \rangle = 2 \langle \overline{\nabla}_{X'} \tau(\pi), \tau(\pi) \rangle = 0.$$
(3.17)

Therefore, the function  $\langle \tau(\pi), \tau(\pi) \rangle$  on M is a constant function on M. Thus, it implies that the right hand side of (29) must vanish. Thus, c = 0 or  $\tau(\pi) \equiv 0$ . If we assume that  $\tau(\pi) \not\equiv 0$ , then by (29), it must hold that 2c = 0. Then,  $\overline{\Delta}\tau(\pi) = c \tau(\pi) = 0$ , so that  $\tau(\pi) \equiv 0$  due to (20).

Let  $\lambda_1(M, g)$  be the first eigenvalue of the Laplacian  $\Delta$  of a compact Riemannian manifold (M, g). Recall the theorem of M. Obata:

**Proposition 2.** (cf. [42], pp. 180, 181) Assume that (M,h) is a compact Kähler manifold, and the Ricci transform  $\rho$  of (M,h) satisfies that

$$h(\rho(u), u) \ge \alpha h(u, u), \qquad (\forall u \in T_x M), \tag{3.18}$$

for some positive constant  $\alpha > 0$ . Then, it holds that

$$\lambda_1(M,h) \ge 2\,\alpha. \tag{3.19}$$

If the equality holds, then M admits a non-zero holomorphic vector field.

Thus, we obtain Proposition 1, and the following theorem (cf. Theorem 1):

**Theorem 4.** Let  $\pi$  :  $(E,g) \to (M,h)$  be an Hermitian vector over a compact Kähler Einstein manifold (M,h). If  $\pi$  is biharmonic, then it is harmonic.

## 4 Einstein manifolds and proof of Theorem 5.

Let  $\pi : (E^m, g) \to (M^n, h)$  be an Hermitian vector bundle over a compact Riemannian manifold (M, h), and again let us recall the tension field and the bitension field

$$\tau(\pi) = \sum_{j=1}^{m} \left\{ \overline{\nabla}_{e_j}^h \pi_* e_j - \pi_* \left( \nabla_{e_j}^g e_j \right) \right\},\tag{4.1}$$

$$\tau_2(\pi) = \overline{\Delta}\tau(\pi) - \sum_{j=1}^m R^h(\tau(\pi), \pi_* e_j) \pi_* e_j,$$
(4.2)

respectively. Then, we have

$$\tau_{2}(\pi) = \sum_{j=1}^{m} \left\{ \overline{\nabla}_{e_{j}}^{h} \pi_{*} e_{j} - \pi_{*} \left( \nabla_{e_{j}}^{g} e_{j} \right) \right\},$$
(4.3)  
$$\tau_{2}(\pi) = \overline{\Delta}\tau(\pi) - \sum_{j=1}^{m} R^{h}(\tau(\pi), \pi_{*} e_{j}) \pi_{*} e_{j}$$

$$= \overline{\Delta}\tau(\pi) - \sum_{j=1}^{n} R^{h}(\tau(\pi), e'_{j})e'_{j}$$
$$= \overline{\Delta}\tau(\pi) - \operatorname{Ric}^{h}(\tau(\pi))$$
$$= \overline{\Delta}\tau(\pi) - c\,\tau(\pi)$$
(4.4)

since it holds that  $\operatorname{Ric}^h = ch$  because of (M,h) is Einstein. Therefore, that  $\pi: (E,g) \to (M,h)$  is biharmonic if and only if

$$\overline{\Delta}\tau(\pi) = c\,\tau(\pi).\tag{4.5}$$

Since the Laplacian  $\Delta_h$  of a Riemannian manifold (M, h) is expressed as

$$\Delta_h = -\sum_{j=1}^n (e'_j{}^2 - \nabla^h_{e'_j} e'_j), \qquad (4.6)$$

and

$$\begin{split} e'_{j}\langle \tau(\pi), \tau(\pi) \rangle &= 2 \, \langle \overline{\nabla}_{e'_{j}} \tau(\pi), \tau(\pi) \rangle, \\ e'_{j}{}^{2}\langle \tau(\pi), \tau(\pi) \rangle &= 2 e'_{j} \, \langle \overline{\nabla}_{e'_{j}} \tau(\pi), \tau(\pi) \rangle, \\ &= 2 \, \langle \overline{\nabla}_{e'_{j}}(\overline{\nabla}_{e'_{j}} \tau(\pi)), \tau(\pi) \rangle + 2 \langle \overline{\nabla}_{e'_{j}} \tau(\pi), \overline{\nabla}_{e'_{j}} \tau(\pi) \rangle \\ \nabla_{e'_{j}} e'_{j} \, \langle \tau(\pi), \tau(\pi) \rangle &= 2 \langle \overline{\nabla}_{\nabla_{e'_{j}}} e'_{j} \tau(\pi), \tau(\pi) \rangle, \end{split}$$

we have

$$\Delta_{h}\langle \tau(\pi), \tau(\pi) \rangle = -\sum_{j=1}^{n} (e_{j}'^{2} - \nabla_{e_{j}'}^{h} e_{j}') \langle \tau(\pi), \tau(\pi) \rangle$$
$$= 2 \langle \overline{\Delta} \tau(\pi), \tau(\pi) \rangle - 2 \sum_{j=1}^{n} \langle \overline{\nabla}_{e_{j}'} \tau(\pi), \overline{\nabla}_{e_{j}'} \tau(\pi) \rangle$$
$$\leq 2 \langle \overline{\Delta} \tau(\pi), \tau(\pi) \rangle.$$
(4.7)

Assume that  $\pi:\,(E,g)\to(M,h)$  is biharmonic. Then, we have

$$\overline{\Delta}\tau(\pi) = c\tau(\pi). \tag{4.8}$$

Therefore, we have

$$\Delta_h \langle \tau(\pi), \tau(\pi) \rangle \le 2c \langle \tau(\pi), \tau(\pi) \rangle.$$
(4.9)

Then, we show the following theorem (cf. Theorem 2):

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**Theorem 5.** Let  $\pi$ :  $(E,g) \to (M,h)$  be an Hermitian vector bundle over a compact Einstein manifold (M,h) with Ricci curvature  $\operatorname{Ric}^{h} = c$  for some positive constant c > 0. Assume that  $\pi$ :  $(E,g) \to (M,h)$  is biharmonic. Then, either (i)  $\pi$  is harmonic, or (ii) the first eigenvalue  $\lambda_1(M,h)$  of (M,h) satisfies the following inequality:

$$0 < \frac{n}{n-1} c \le \lambda_1(M,h) \le \frac{2c}{1-X}$$
(4.10)

where

$$0 < X := \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h} < 1, \tag{4.11}$$

and  $f_0 := \langle \tau(\pi), \tau(\pi) \rangle \in C^{\infty}(M)$  is the pointwise inner product of the tension field  $\tau(\pi)$ .

The inequalities (1) and (2) can be rewritten as follows:

$$-1 < \frac{2-n}{n} = 1 - 2\frac{n-1}{n} \le 1 - \frac{2c}{\lambda_1(M,h)} \le \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h} < 1.$$
(4.12)

First, let us recall the theorem of Lichinerowicz and Obata:

**Theorem 6.** Assume that the Ricci curvature Ric of (M,h) is bounded below by a positive constant c > 0. Then, the first eigenvalue satisfies that

$$\lambda_1(h) \ge \frac{n}{n-1} c, \tag{4.13}$$

and the equality in (45) holds if and only if (M,h) is isometric to the ndimensional standard unit sphere  $(S^n, h_0)$ .

The inequality (44) means that a  $C^{\infty}$  function  $f_0$  on M defined by  $f_0 = \langle \tau(\pi), \tau(\pi) \rangle \in C^{\infty}(M)$  satisfies that

$$\Delta_h f_0 \le 2c f_0. \tag{4.14}$$

(The first step) We assume that  $f_0 \neq 0$  and not a constant. Then  $\int_M f_0^2 v_h > 0$ , and we have by (45),

$$2c \ge \frac{\int_M f_0(\Delta_h f_0) v_h}{\int_M f_0^2 v_h} = \frac{\int_M |\nabla f_0|^2 v_h}{\int_M f_0^2 v_h}.$$
(4.15)

(The second step) If we define  $f_1 := f_0 - \frac{\int_M f_0 v_h}{\operatorname{Vol}(M,h)} \in C^{\infty}(M)$ , we have

$$\int_{M} f_1 v_h = 0, (4.16)$$

$$\nabla f_1 = \nabla f_0, \quad |\nabla f_1|^2 = |\nabla f_0|^2,$$
(4.17)

$$\int_{M} f_1^2 v_h = \int_{M} f_0^2 v_h - \frac{\left(\int_{M} f_0 v_h\right)^2}{\operatorname{Vol}(M,h)}.$$
(4.18)

(The third step) Let us recall the well-known Schwarz inequality (M. Fujiwara, Differentiations and Integrations, Vol. I, page 434, 1934, 2015, ISBN 978-4-7536-0163-9):

**Lemma 1.** (Schwarz inequality) For every two continuous functions f and g on a compact Riemannian manifold (M, h), then it holds that

$$\left(\int_{M} f(x) g(x) v_h(x)\right)^2 \le \left(\int_{M} f(x)^2 v_h(x)\right) \left(\int_{M} g(x)^2 v_h(x)\right).$$
(4.19)

The equality holds if and only if there exist two real numbers  $\lambda$  and  $\mu$  such that

$$\lambda f(x) + \mu g(x) \equiv 0 \qquad (everywhere \ on \ M). \tag{4.20}$$

Then, we have

$$\left(\int_{M} f_0 v_h\right)^2 \le \operatorname{Vol}(M, h) \int_{M} f_0^2 v_h.$$
(4.21)

Furthermore, we have

$$\int_{M} f_0^2 v_h - \frac{\left(\int_{M} f_0 v_h\right)^2}{\operatorname{Vol}(M, h)} > 0.$$
(4.22)

Because, if (57) does not occur, the equality holds for  $f = f_0$  and  $g \equiv 1$  in (54). Due to Lemma 1, there exist two real numbers  $\lambda$  and  $\mu$  satisfying that

$$\lambda f_0(x) + \mu \cdot 1 \equiv 0 \qquad (\text{on } M) \qquad (4.23)$$

which means that  $f_0$  must be a constant on M and  $\nabla f_0 \equiv 0$  which contradicts our assumption in Step 1. We have the inequality (57).

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(The fourth step) The first eigenvalue  $\lambda_1(M, h)$  of (M, h) satisfies that

$$\lambda_{1}(M,h) \leq \frac{\int_{M} |\nabla f_{1}|^{2} v_{h}}{\int_{M} f_{1}^{2} v_{h}} = \frac{\int_{M} |\nabla f_{0}|^{2} v_{h}}{\int_{M} f_{0}^{2} v_{h} - \frac{(\int_{M} f_{0}^{2} v_{h})^{2}}{\operatorname{Vol}(M,h)}} \leq 2c \frac{\int_{M} f_{0}^{2} v_{h}}{\int_{M} f_{0}^{2} v_{h} - \frac{(\int_{M} f_{0} v_{h})^{2}}{\operatorname{Vol}(M,h)}} = 2c \frac{1}{1-X},$$
(4.24)

where we put  $X := \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h}$ , (0 < X < 1). Indeed, X < 1 if and only if

$$\left(\int_{M} f_0 v_h\right)^2 < \operatorname{Vol}(M, h) \int_{M} f_0^2 v_h, \qquad (4.25)$$

and

$$0 < X \quad \Longleftrightarrow \quad 0 < \int_M f_0 v_h \quad \Longleftrightarrow \quad 0 \not\equiv f_0. \tag{4.26}$$

Furthermore, since  $\lambda_1(M,h) \leq 2c \frac{1}{1-X}$  if and only if

$$1 - \frac{2c}{\lambda_1(M,h)} \le X,\tag{4.27}$$

together with the inequality of Lichnerowicz-Obata, we have also the following inequalities:

$$-1 < \frac{2-n}{n} = 1 - 2\frac{n-1}{n} \le 1 - \frac{2c}{\lambda_1(M,h)} \le \frac{1}{\operatorname{Vol}(M,h)} \frac{\left(\int_M f_0 v_h\right)^2}{\int_M f_0^2 v_h} < 1.$$
(4.28)

We obtain Theorem 5.

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