

ABEL'S FORMULA AND β -DUALITY IN SEQUENCE SPACES

WILLIAM A. VEECH (*)

Dedicated to the memory of Professor Gottfried Köthe

1. INTRODUCTION

The real Banach space m (resp. c) can be identified with the set of formal, real infinite series $x \sim \sum_{j=1}^{\infty} x_j$ such that x has bounded partial sums, $S_n(x) = \sum_{j=1}^n x_j$, $n \geq 1$ (resp. x is convergent). Let $\|x\| = \sup_n |S_n(x)|$, $x \in M \supseteq c$.

Recall that the β -dual ([K], p. 453) of a linear space E of formal series $x \sim \sum x_j$ is the set of sequences $y = (y_1, y_2, \dots)$ such that (x, y) exists for all $x \in E$, where

$$(1.1) \quad (x, y) = \sum_{n=1}^{\infty} x_n y_n \quad (x \in E)$$

If $E = m$, the principle of uniform boundedness and Abel's formula

$$(1.2) \quad \sum_{n=1}^N x_n y_n = \sum_{n=1}^{N-1} S_n(x) (y_n - y_{n+1}) + S_N(x) y_N$$

imply that each $y \in \beta$ -dual (m) satisfies (a) $\sum_{n=1}^{\infty} |y_n - y_{n+1}| < \infty$ and (b) $\psi_0(y) \stackrel{\text{def}}{=} \lim_{n \rightarrow \infty} y_n = 0$. In the case of $c \subseteq m$, the requirement (b) is replaced by (b') $\psi_0(y) = \lim_{n \rightarrow \infty} y_n$ exists. It follows that the map $y(\cdot)$ which assigns to $(u, t) \in l^1 \times \mathbf{R}$ the sequence $y_n(u, t) = t + \sum_{k=n}^{\infty} u_k$ is an isomorphism onto the β -dual of c with the image of $l^1 \times \{0\}$ being the β -dual of m .

In the present paper we shall define Banach sequence (series) spaces $c(\alpha) \subseteq m(\alpha)$, $0 < \alpha \leq 1$, and we shall observe that the β -dual, $l(\alpha)$, of $c(\alpha)$ stands in relation to $c(\alpha)$ and $m(\alpha)$ as $l(1) \simeq l^1 \times \mathbf{R}$ stands to $c = c(1)$ and $m = m(1)$. This relation will be exhibited through a characterization of $l(\alpha)$ in terms of a «generalized Abel's formula», (1.14) below.

In preparation of the definition of $c(\alpha)$ and $m(\alpha)$ let Σ be the group of permutations of $\mathbf{N} = \{1, 2, \dots\}$ with finite supports. Σ acts upon m , preserving c , by the rule

$$(1.3) \quad T_{\sigma} x \sim \sum_{j=1}^{\infty} x_{\sigma^{-1}j} \left(x \sim \sum_{j=1}^{\infty} x_j \in m \right)$$

(*) Research supported by NSF-DMS-8822875.

$\|\sigma\|$ denotes the operator norm of T_σ . Define $\|\cdot\|_\alpha$ on m by

$$(1.4) \quad \|x\|_\alpha = \sup_{\sigma \in \Sigma} \frac{\|T_\sigma x\|}{\|\sigma\|^\alpha}.$$

Now define $m(\alpha)$ and $c(\alpha) \subseteq m(\alpha)$ by

$$(1.5) \quad \begin{aligned} m(\alpha) &= \{x \in m \mid \|x\|_\alpha < \infty\} \\ c(\alpha) &= \left\{x \in m(\alpha) \mid \lim_{\|\sigma\| \rightarrow \infty} \frac{\|T_\sigma x\|}{\|\sigma\|^\alpha} = 0\right\}. \end{aligned}$$

If $\alpha = 1$, then $m(\alpha) = m$ and $\|\cdot\|_1$ reduces to $\|\cdot\|$. (In this paper the l^p -norms $\|\cdot\|_p$ will be avoided, and the notation (1.4) for $\|\cdot\|_\alpha$ should not cause confusion). It will be seen that $c(1) = c$. If $\alpha = 0$, then $m(\alpha) = m(0) = l^1$ is the space of absolutely summable sequences while $c(0) = \{0\}$. If $\epsilon < \alpha$, then clearly $m(\alpha - \epsilon) \subseteq c(\alpha)$. In particular, it will follow that $m(\alpha) \subseteq c$, $\alpha < 1$.

$l(\alpha)$, $0 < \alpha \leq 1$, denotes the β -dual of $c(\alpha)$. We shall find that $\psi_0(y) = \lim_n y_n$ exists for each $y \in l(\alpha)$, and we define $l_0(\alpha) = l(\alpha) \cap \psi_0^{-1}0$. In the statement below $*$ and stand for Banach dual and β -dual, respectively.

Theorem 1.6. *Let $\alpha \in (0, 1]$, and let $l(\alpha) = c(\alpha)^\wedge$. Then*

$$(1.7) \quad \begin{aligned} c(\alpha)^* &= l(\alpha) \\ l(\alpha)^\wedge &= m(\alpha) \quad (\alpha < 1) \\ l_0(\alpha)^\wedge &= l_0(\alpha)^* = m(\alpha) \\ l(\alpha)^* &= m(\alpha) \oplus \mathbf{R} \psi_0. \end{aligned}$$

When $\alpha = 1$, only the second line of (1.7) requires modification (replace $m(1)$ by $c(1)$). As indicated earlier, Theorem 1.6 hinges on a generalized Abel formula which we shall now describe.

Define \mathcal{F}_0 to be the set of finite subsets of \mathbf{N} , and let $\mathcal{F} = \{F \subseteq \mathbf{N} \mid F \in \mathcal{F}_0 \text{ or } F^c \in \mathcal{F}_0\}$. Define $r(\cdot)$ on \mathcal{F} by $r(F) = \llcorner\text{connectivity}\lrcorner$ of F , the number of maximal segments $[a, b) \subseteq F$, $1 \leq a < b \leq \infty$. Note that $\mathcal{F} = \{F \subseteq \mathbf{N} \mid r(F) < \infty\}$ and $|r(F) - r(F^c)| = 1$, $F \in \mathcal{F}$. We shall deal with classes of functions $z(\cdot)$ on \mathcal{F} , and in all cases it will be true that $z(\emptyset) = 0$.

If $0 \leq \alpha \leq 1$, define $\|z\|_\alpha^*$, z a real function on \mathcal{F} , by

$$(1.8) \quad \|z\|_\alpha^* = \sum_{F \in \mathcal{F}} |z(F)| r(F)^\alpha.$$

Also, define $Z(\alpha)$ by

$$(1.9) \quad Z(\alpha) = \{z \mid \|z\|_\alpha^* < \infty\}.$$

Each $z \in Z(\alpha)$ is absolutely summable, and therefore the operator $R : Z(\alpha) \rightarrow$ sequences, defined by $y = Rz$ with

$$(1.10) \quad (Rz)_j = \sum_{F \in \mathcal{F}} \chi_F(j) z(F)$$

is well-defined. As $\lim_{j \rightarrow \infty} \chi_F(j)$ exists for all $F \in \mathcal{F}$, it is true that $\lim_{j \rightarrow \infty} (Rz)_j = \sum_{F \in \mathcal{F}_0^c} z(F)$ exists.

If $x \in c(1)$, then for each $F \in \mathcal{F}$ the number $x(F)$ is well-defined, where

$$(1.11) \quad x(F) = \sum_{j=1}^{\infty} \chi_F(j) x_j.$$

(This uses the fact $x \sim \sum_{j=1}^{\infty} x_j \in c(1)$ is a convergent series and $r(F) < \infty$, $F \in \mathcal{F}$.) We shall prove

Theorem 1.12. *If $0 < \alpha \leq 1$, then $l(\alpha)$ is the R -image of $Z(\alpha)$, i.e.*

$$(1.13) \quad l(\alpha) = RZ(\alpha).$$

The duality between $l(\alpha)$ and $m(\alpha)$ (or $c(1)$ when $\alpha = 1$) is given by

$$(1.14) \quad (x, y) = \sum_{F \in \mathcal{F}} x(F) z(F) \quad (x \in m(\alpha), z \in Z(\alpha), y = Rz).$$

Example 1.15. Let $y = (y_1, y_2, \dots)$ be such that $\sum_{n=1}^{\infty} |y_n - y_{n+1}| < \infty$, and define $\psi_0(y) = \lim_{n \rightarrow \infty} y_n$. Define $z \in Z(1)$ by $z(\mathbf{N}) = \psi_0(y)$, $z([1, n]) = y_n - y_{n+1}$ and $z(F) = 0$ for all other $F \in \mathcal{F}$. For each n we have

$$(Rz)_n = \psi_0(y) + \left(y_n - \lim_{N \rightarrow \infty} y_N \right) = y_n$$

and therefore $Rz = y$. If $x \in c$, then Abel's formula says

$$(1.15) \quad (x, y) = \sum_{n=1}^{\infty} x([1, n])z([1, n]) + x(\mathbf{N})z(\mathbf{N}).$$

By (1.14) it is true for *any* $z \in Z(1)$ such that $Rz = y$ that $(x, y) = \sum_{F \in \mathcal{F}} x(F)z(F)$ ((1.14)). We remark that (1.15) makes sense for $x \in m$ as soon as $z(\mathbf{N}) = \psi_0(y) = 0$. This remains true for all z such that $Rz = y$, $\psi_0(y) = 0$, with (1.14) in place of (1.15).

2. COMPUTATIONS WITH $\|\sigma\|$

If $x \in m$, define $Sx = \{S_n(x) | n \geq 1\}$ to be the sequence of partial sums. If $\sigma \in \Sigma$, the operator $ST_\sigma S^{-1}$ is an operator on the space of bounded *sequences* which, since $x \rightarrow Sx$ is an isometry, has the same operator norm ($=\|\sigma\|$) as T_σ . If a bounded sequence is taken to be a column vector, the operators S, T_σ and S^{-1} can be expressed in infinite matrix form. S (resp. S^{-1}) is lower triangular with 1's on and below the diagonal (resp. 1's on the diagonal, -1 's just below the diagonal and 0's elsewhere). T_σ is represented by $(T_\sigma)_{ij} = \delta_{j\sigma^{-1}i}$. It is clear $ST_\sigma S^{-1}$ is then a matrix whose entries are $0, \pm 1$. Therefore, $\|\sigma\|$ is the maximum number of nonzero entries ($=$ maximum l^1 -norm) of any row of $ST_\sigma S^{-1}$.

If $i, j \in \mathbf{N}$, the entry $(ST_\sigma S^{-1})_{ij}$ is 1 if $\sigma j \leq i$ and $\sigma(j+1) > i$, -1 if $\sigma j > i$ and $\sigma(j+1) > i$ and 0 otherwise. Define $\nu(\sigma)$ by

$$(2.1) \quad \nu(\sigma) = \text{Max}_i \text{Card} \left\{ j | \chi_{[1,i]}(\sigma j) \neq \chi_{[1,i]}(\sigma(j+1)) \right\}.$$

We have

Proposition 2.2. *The operator norm $\|\sigma\| = \|T_\sigma\|$ satisfies*

$$(2.3) \quad \|\sigma\| = \nu(\sigma).$$

Let σ be extended from \mathbf{N} to a *PL*-function on $[1, \infty)$, and let $\mu(\sigma)$ be the maximum number of intersections of the graph $G(\sigma)$ with horizontal lines. If i is chosen to maximize (2.1), then $\nu(\sigma)$ is precisely the number of times $G(\sigma)$ intersects the horizontal $y = i + \epsilon$, $0 < \epsilon < 1$. Therefore, $\mu(\sigma) \geq \nu(\sigma)$. On the other hand, if $\mu(\sigma)$ is the number of intersections of $G(\sigma)$ with a line of height $i + \epsilon$, $0 < \epsilon < 1$, each point of intersection accounts for a j such that $\chi_{[1,i]}(\sigma j) \neq \chi_{[1,i]}(\sigma(j+1))$. Therefore,

Proposition 2.4. *With notations as above*

$$(2.5) \quad \nu(\sigma) = \|\sigma\| = \mu(\sigma).$$

Let $r(\cdot)$ be the «connectivity» function on \mathcal{F} as in section 1. Define $\rho(\sigma)$, $\sigma \in \Sigma$, by

$$(2.6) \quad \rho(\sigma) = \text{Max}_i r(\sigma^{-1}[1, i]).$$

Fix i to maximize (2.6) and let $r^{-1}([1, i]) = \bigcup_{k=1}^{\rho} [a_k, b_k)$, $a_1 < b_1 < a_2 < \dots < b_{\rho}$. The graph $G(\sigma)$ crosses the horizontal $y = i + \epsilon$, $0 < \epsilon < 1$, twice on each complementary interval $[b_k, a_{k+1})$, $k < \rho$, once on $[b_{\rho}, \infty)$ and, when $a_1 > 1$, once on $[1, a_1]$. It follows that $\mu(\sigma) \geq 2\rho(\sigma) - 1$ in all cases. Conversely, if $G(\sigma)$ crosses $y = i + \epsilon$ $\mu(\sigma)$ times, it must be that $r(\sigma^{-1}[1, i]) = \lfloor \frac{\mu(\sigma)-1}{2} \rfloor$. Therefore,

$$(2.7) \quad 2\rho(\sigma) - 1 \leq \|\sigma\| \leq 2\rho(\sigma) + 1.$$

In particular, $\rho(\sigma)$ and $\|\sigma\| = \mu(\sigma) = \nu(\sigma)$ are of the same magnitude.

Lemma 2.8. *Let $x \in m$, and suppose*

$$(2.9) \quad \lim_{\|\sigma\| \rightarrow \infty} \frac{\|T_{\sigma} x\|}{\|\sigma\|} = 0.$$

Then $x \sim \sum_{j=1}^{\infty} x_j$ is a convergent series.

Proof. To say x is not convergent is to say that there exists $\epsilon > 0$ and, replacing x by $-x$ and relettering, if necessary, $a_1 < b_1 < a_2 < b_2 < \dots$ such that $\epsilon < x([a_k, b_k]) = \sum_{j=a_k}^{b_k-1} x_j$. For each N define $i_N = \sum_{k=1}^N (b_k - a_k)$ and let $\sigma_N \in \Sigma$ be constructed so that (1) $\sigma_N(\bigcup_{k=1}^N [a_k, b_k)) = [1, i_N]$, (2) σ_N is supported on $[1, i_N] \cup \bigcup_{k=1}^N [a_k, b_k)$ and (3) σ_N is monotone on $\bigcup_{k=1}^N [a_k, b_k)$ and on its complement in $[1, i_N]$. $G(\sigma_N)$ has at most $2N$ (maximal) intervals of negative slope, and therefore $\|\sigma_N\| = O(N)$. As $\|T_{\sigma} x\| \geq x(\sigma^{-1}[1, i_N]) > N\epsilon$, (2.9) cannot be true. This is a contradiction, and we conclude x is convergent.

Proposition 2.10. *If $\alpha = 1$, then $c(\alpha) = c(1)$ is the space c of convergent series.*

Proof. Lemma 2.8 and the definition (1.3) imply $c(1) \subseteq c$. Conversely, let $x \sim \sum_{j=1}^{\infty} x_j$ be a convergent series. Given $\epsilon > 0$ choose i so that $|x([a, b])| < \epsilon$ if $[a, b] \subseteq [i, \infty)$. If

$\sigma \in \Sigma$ is such that $\|T_\sigma x\| > \|x\|$, there exists i_0 such that $\|T_\sigma x\| = |x(\sigma^{-1}[1, i_0])|$. As $|x(I)| < \epsilon$ for any component I of $\sigma^{-1}[1, i_0]$ which is contained in $[i, \infty)$, we have

$$\|T_\sigma x\| \leq \sum_{j=1}^i |x_j| + r(\sigma^{-1}[1, i_0]) \epsilon.$$

Now divide by $\|\sigma\|$ and let $\|\sigma\| \rightarrow \infty$. By (2.7) the lim sup in (2.9) is at most ϵ . Letting $\epsilon \rightarrow 0$, the proposition obtains.

In what follows we use $e_i \sim \sum_{j=1}^\infty \delta_{ij}$ to denote the «unit vectors» in m .

Proposition 2.11. *The set $\{e_i | i \in \mathbf{N}\}$ is a Schauder basis for $c(\alpha)$, $0 < \alpha \leq 1$. More precisely, if $x \sim \sum_{j=1}^\infty x_j \in c(\alpha)$, then $x = \sum_{j=1}^\infty x_j e_j$ in $\|\cdot\|_\alpha$.*

Proof. Fix $0 < \alpha < 1$ and $x \in c(\alpha)$. Let $\epsilon_0(t)$, $t > 0$ be a function such that

$$(2.12) \quad \begin{aligned} \|T_\sigma x\| &\leq \epsilon_0(\|\sigma\|) \|\sigma\|^\alpha \quad (\sigma \in \Sigma) \\ \lim_{t \rightarrow \infty} \epsilon_0(t) &= 0. \end{aligned}$$

Given $\epsilon > 0$, use the fact x is a convergent series (Lemma 2.8) to find n such that $|x(I)| < \epsilon$ for any segment $I \subseteq [n, \infty)$. Let $\hat{x} = x - \sum_{j=1}^{n-1} x_j e_j \sim \sum_{j=n}^\infty x_j$. We shall prove that

$$(2.13) \quad \|\hat{x}\|_\alpha \leq \epsilon^\alpha + 2\epsilon_0(\epsilon^{\alpha-1}).$$

Since $\alpha < 1$, (2.13) and (2.12) yield the desired result. (For $\alpha = 1$ the statement is obvious and standard.) To establish (2.13) let $\sigma \in \Sigma$ be such that $\|T_\sigma \hat{x}\| > \|\hat{x}\|$ (since $\|\hat{x}\| < \epsilon!$), and choose N so that $\|T_\sigma \hat{x}\| = |\hat{x}(\sigma^{-1}[1, N])|$. Decompose $\sigma^{-1}[1, N]$ into $r = r(\sigma^{-1}[1, N])$ maximal segments I_1, \dots, I_r , from left to right, and let $s \leq r$ be the least s , if any, such that $I_s \cap [n, \infty) \neq \emptyset$. Replace I_s by $I_s \cap [n, \infty)$ and reletter so that now $\hat{x}(I_j) = x(I_j)$, $s \leq j \leq r$ and

$$(2.14) \quad \|T_\sigma \hat{x}\| = \left| \sum_{j=s}^r x(I_j) \right| \leq (r - s + 1)\epsilon.$$

Construct $\tau \in \Sigma$ as in (1)-(3) of the proof of Lemma 2.8 so that

$$(2.15) \quad \|T_\sigma \hat{x}\| = |T_\tau x([1, A])| = |x(\tau^{-1}[1, A])|$$

where $\tau(\bigcup_{j=s}^r I_j) = [1, A]$. By (2.12) and (2.14) we have

$$\|T_\sigma \hat{x}\| \leq \text{Min}((r - s + 1)\epsilon, \epsilon_0(\|\tau\|) \|\tau\|^\alpha).$$

By construction $\|\tau\| \geq r - s + 1$ and $\|\sigma\| \geq r \geq \frac{1}{2} \|\tau\|$. If $(r - s + 1)\epsilon > \epsilon^\alpha$, then $\frac{\|T_\sigma \hat{x}\|}{\|\sigma\|^\alpha} \leq 2\epsilon_0(\epsilon^{\alpha-1})$, while if $(r - s + 1)\epsilon \leq \epsilon^\alpha$, $\|T_\sigma \hat{x}\| \leq \epsilon^\alpha$. Now (2.13) is true, and the proposition is proved.

Corollary 2.16. *Let $l(\alpha)$ be the β -dual of $c(\alpha)$. Then $l(\alpha)$ is also the Banach dual of $c(\alpha)$.*

Proof. Clear.

3. EQUIVALENT NORMS ON $m(\alpha)$

Associate to each $\pi = (\pi_1, \pi_2, \dots) \in \mathbf{N}^{\mathbf{N}}$ the natural partition of \mathbf{N} into segments $I_j = I_j(\pi)$, $j \geq 1$, ordered from left to right with $|I_j(\pi)| = \pi_j$. π determines a contraction $Q_\pi : m \rightarrow m$ by

$$Q_\pi x \sim \sum_{j=1}^{\infty} x(I_j(\pi)) \quad (x \in m)$$

(Since $I_j(\pi)$ is finite, $x(I_j(\pi))$ makes sense.) The partial sums of $Q_\pi x$ being a subsequence of the partial sums of x we have $\|Q_\pi x\| \leq \|x\|$ for all π, x .

Σ acts upon $\pi \in \mathbf{N}^{\mathbf{N}}$ by $(\sigma\pi)_j = \pi_{\sigma^{-1}j}$. Given $\sigma \in \Sigma$ and $\pi \in \mathbf{N}^{\mathbf{N}}$, define $\tau = \tau(\sigma, \pi) \in \Sigma$ by requiring (a) $\tau I_{\sigma^{-1}j}(\pi) = I_j(\sigma\pi)$ and (b) τ is monotone on $I_k(\pi)$ for each k . Since $|I_j(\sigma, \pi)| = \pi_{\sigma^{-1}j} = |I_{\sigma^{-1}j}(\pi)|$ (a) makes sense. Observe the relation

$$(3.1) \quad T_\sigma Q_\pi = Q_{\sigma\pi} T_\tau \quad (\tau = \tau(\sigma, \pi)).$$

Indeed, $(T_\sigma Q_\pi x)_j = (Q_\pi x)_{\sigma^{-1}j} = x(I_{\sigma^{-1}j}(\pi)) = x(\tau^{-1} I_j(\sigma\pi)) = (T_\tau x)(I_j(\sigma\pi)) = (Q_{\sigma\pi} T_\tau x)_j$.

Lemma 3.2. *If $\sigma \in \Sigma$ and $\pi \in \mathbf{N}^{\mathbf{N}}$, and if $\tau = \tau(\sigma, \pi)$ is as above, then*

$$(3.3) \quad \|\sigma\| \leq \|\tau\| \leq \|\sigma\| + 2.$$

Proof. Define $k(i)$, $i \geq 1$, to be the number of j such that $I_j(\sigma\pi) \subseteq [1, i]$. Let $I_{k+1}^0 = I_{k+1}(\sigma\pi) \cap [1, i]$, and define $I_{\sigma^{-1}(k+1)}^0 = \tau^{-1} I_{k+1}^0$. We have $\tau^{-1}[1, i] = I_{\sigma^{-1}(k+1)}^0 \cup$

$\cup \cup_{j=1}^{k(i)} I_{\sigma^{-1}j}(\pi)$. As $I_{\sigma^{-1}(k+1)}^0 \neq I_{\sigma^{-1}(k+1)}(\pi)$, by definition, we have $r(\sigma^{-1}[1, k(i)]) \leq \leq r(\tau^{-1}[1, i]) \leq r(\sigma^{-1}[1, k(i)]) + 1$. When the left equality holds, $G(\tau)$ and $G(\sigma)$ intersect the horizontals $y = i + \frac{1}{2}$ and $y = k(i) + \frac{1}{2}$, respectively, the same number of times. When the right equality holds, $G(\tau)$ and $G(\sigma)$ intersect the horizontals $y = i + \frac{1}{2}$ and $y = k(i) + \frac{1}{2} + 1$, respectively, either the same number of times or else l times for $G(\tau)$ and $l - 2$ times for $G(\sigma)$. (The latter occurs when $I_{\sigma^{-1}(k+1)}(\pi)$ joins the left side of some $I_{\sigma^{-1}j}(\pi)$, $j \leq k(i)$.) Now (3.3) is proved.

Proposition 3.4. *For each $\pi \in \mathbf{N}^{\mathbf{N}}$ and $\alpha \in [0, 1]Q_{\pi}$ has norm at most 2^{α} on $m(\alpha)$.*

Proof. Fix $\pi \in \mathbf{N}^{\mathbf{N}}$, and below let $\tau = \tau(\sigma, \pi)$ as σ varies in Σ . Lemma 3.2 implies

$$\begin{aligned}
 (3.5) \quad \|Q_{\pi}x\| &= \sup_{\sigma \in \Sigma} \frac{\|T_{\sigma}Q_{\pi}x\|}{\|\sigma\|^{\alpha}} = \\
 &= \sup_{\sigma \in \Sigma} \frac{\|Q_{\sigma\pi}T_{\tau}x\|}{\|\sigma\|^{\alpha}} \leq \\
 &\leq \sup_{\sigma \in \Sigma} \left(\frac{\|\sigma\| + 2}{\|\sigma\|}\right)^{\alpha} \frac{\|T_{\tau}x\|}{\|\tau\|^{\alpha}}.
 \end{aligned}$$

Since $\sigma = \text{Id}$ implies $\tau = \text{Id}$, and since $\sigma \neq \text{Id}$ implies $\|\sigma\| \geq 3$, the proposition follows.

If $x \in m$ and $F \in \mathcal{F}_0$ (i.e., $|F| < \infty$), define $|x|(F) = \sum_{j \in F} |x_j|$.

Lemma 3.6. *There exists a constant $c < \infty$ such that if $x \in m(\alpha)$, then*

$$(3.7) \quad \sup_{\substack{F \in \mathcal{F}_0 \\ F \neq \emptyset}} \frac{|x|(F)}{|F|^{\alpha}} \leq c \|x\|_{\alpha}.$$

Proof. Order the positive terms in x as $x_{n_1} \geq x_{n_2} \geq \dots$. For each N define $\sigma_N \in \Sigma$ to have support $[1, N] \cup \{n_1, \dots, n_N\}$ and to satisfy $\sigma_N n_j = j$. As $\|\sigma_N\| = O(N)$ is clear, we have

$$Nx_{n_N} \leq \sum_{j=1}^N x_{n_j} \leq \|T_{\sigma_N}x\| \leq \|\sigma_N\|^{\alpha} \|x\|_{\alpha} = O(N^{\alpha}) \|x\|_{\alpha}.$$

It follows $x_{n_N} = O(N^{\alpha-1})$. A similar argument for the negative terms and the fact $\sum_{j=1}^k j^{\alpha-1} = O(k^{\alpha})$ establishes (3.7). The lemma is proved.

As a corollary to the estimate $x_{n_N} = O(N^{\alpha-1})$ we have

Proposition 3.8. *If $\alpha < 1$, then*

$$(3.9) \quad m(\alpha) \subseteq \bigcap_{p > \frac{1}{1-\alpha}} l^p.$$

Proposition 3.4 and Lemma 3.6 imply that the norm $||| \cdot |||'_\alpha$ is dominated by $\| \cdot \|_\alpha$, where

$$(3.10) \quad |||x|||'_\alpha = \sup_{\substack{\pi \in \mathbb{N}^{\mathbb{N}} \\ \emptyset \neq F \in \mathcal{F}_0}} \frac{|Q_\pi x|(F)}{|F|^\alpha}.$$

By the closed graph theorem the equivalence of $||| \cdot |||'_\alpha$ and $\| \cdot \|_\alpha$ is a consequence of

Lemma 3.11. *If $x \in m$ is such that $|||x|||'_\alpha < \infty$, then $\|x\|_\alpha < \infty$.*

Proof. Let $\sigma \in \Sigma$ be such that $\|T_\sigma x\| > \|x\|$. Choose n such that $\|T_\sigma x\| = |x(\sigma^{-1}[1, n])|$ and suppose $\sigma^{-1}[1, n] = \bigcup_{j=1}^r I_j$, where $r = r(\sigma^{-1}[1, n])$. By (2.7) $r = O(\|\sigma\|)$. Choose $\pi \in \mathbb{N}^{\mathbb{N}}$ with $I_j = I_{l_j}(\pi)$, $1 \leq j \leq r$, and let $F = \{l_1, \dots, l_r\}$. We have

$$\|T_\sigma x\| = |x(\sigma^{-1}[1, n])| \leq |Q_\pi x|(F) \leq |F|^\alpha |||x|||'_\alpha = r^\alpha |||x|||'_\alpha = O(\|\sigma\|^\alpha) |||x|||'_\alpha.$$

Therefore, $\|x\|_\alpha < \infty$ (and $\|x\|_\alpha \leq C |||x|||'_\alpha$ for a universal constant $C < \infty$). The lemma is proved.

As noted earlier, Lemma 3.6 and 3.11 imply

Proposition 3.12. *The norms $\| \cdot \|_\alpha$ and $||| \cdot |||'_\alpha$ are equivalent on $m(\alpha)$.*

The norm $||| \cdot |||'_\alpha$ is closely related to a norm $||| \cdot |||_\alpha$ which we define by

$$(3.13) \quad |||x|||_\alpha = \sup_{\substack{F \in \mathcal{F}_0 \\ F \neq \emptyset}} \frac{|x(F)|}{r(F)^\alpha}.$$

In fact,

Proposition 3.14. *If $0 \leq \alpha \leq 1$, then*

$$(3.15) \quad \frac{1}{2} |||x|||'_\alpha \leq |||x|||_\alpha \leq |||x|||'_\alpha.$$

Proof. To prove the right hand inequality, let $\emptyset \neq F = \bigcup_{j=1}^r I_j \in \mathcal{F}_0$, with $r = r(F)$, and select $\pi \in \mathbf{N}^{\mathbf{N}}$ with $I_j = I_{l_j}(\pi)$, $1 \leq j \leq r$. Let $E = \{l_1, \dots, l_r\}$, and observe

$$|x(F)| \leq |Q_\pi x|(E) \leq |E|^\alpha |||x|||'_\alpha = r(F)^\alpha |||x|||'_\alpha.$$

Therefore, $|||x|||_\alpha \leq |||x|||'_\alpha$. To prove the left-hand inequality let $\pi \in \mathbf{N}^{\mathbf{N}}$ and $F \in \mathcal{F}_0$, and select $E \subseteq F$ such that $(Q_\pi x)_j$, $j \in E$, has constant sign and

$$|Q_\pi x(E)| \geq \frac{1}{2} |Q_\pi x(F)|.$$

In general, $r(E) < |E|$, but we may alter π without changing $|Q_\pi x(F)|$ or $Q_\pi x(E)$ so that $r(E) = |E|$; simply collapse maximal segments of E to points using a «coarser» π . This alteration decreases $|F|$. We now have

$$\frac{|Q_\pi x(F)|}{|F|^\alpha} \leq 2 \frac{|Q_\pi x(E)|}{|E|^\alpha} = 2 \frac{|Q_\pi x(E)|}{r(E)^\alpha} \leq 2 |||x|||_\alpha.$$

Now sup over $F \in \mathcal{F}_0$. The proposition is proved.

We conclude this section with a remark. Let $0 < \alpha < 1$, and define $\lambda(\alpha) \subseteq m(\alpha)$ by

$$(3.16) \quad \lambda(\alpha) = \left\{ x \in m \mid Q_\pi x \in l^{\frac{1}{1-\alpha}}, \text{ all } \pi \in \mathbf{N}^{\mathbf{N}} \right\}.$$

It is easy to see directly that each $x \in \lambda(\alpha)$ is a convergent series, and this plus a sliding hump argument implies $\|x\|_{\lambda(\alpha)} < \infty$, $x \in \lambda(\alpha)$, where

$$(3.17) \quad \|x\|_{\lambda(\alpha)} = \sup_{\pi \in \mathbf{N}^{\mathbf{N}}} \|Q_\pi x\|_p \quad \left(p = \frac{1}{1-\alpha} \right).$$

(On the right side of (3.17) the $\|\cdot\|_p$ -norm is the traditional l^p -norm.) Hölder's inequality implies $|||\cdot|||'_\alpha$ is dominated by $\|\cdot\|_{\lambda(\alpha)}$, but the two are not equivalent. Also, the sliding hump argument yields

$$\lim_{N \rightarrow \infty} \sup_{\pi \in \mathbf{N}^{\mathbf{N}}} \sum_{j=N}^{\infty} |(Q_\pi x)_j|^p = 0 \quad (x \in \lambda(\alpha)).$$

The reader can check that this implies $\lambda(\alpha) \subseteq c(\alpha)$. The results of this section, including Propositions 3.4 and 3.8, imply

$$(3.18) \quad \lambda(\alpha) \subseteq c(\alpha) \subseteq m(\alpha) \subseteq \bigcap_{\beta > \alpha} \lambda(\beta).$$

All inclusions are strict. For example, $x \sim \sum_{j=1}^{\infty} (-1)^j j^{\alpha-1}$, $\alpha < 1$, belongs to $c(\alpha)$ but not to $\lambda(\alpha)$. And $x \sim \sum_{j=1}^{\infty} (-1)^j (\log j)^{\alpha-1}$, $\alpha < 1$, belongs to $\lambda(\beta)$, $\beta > \alpha$, but not to $m(\alpha)$.

4. DUALITY

The objects $\|\cdot\|_\alpha^*$, $Z(\alpha)$ and R have been defined in (1.9)-(1.11). The formal adjoint of R is

$$(4.1) \quad (R^*x)(F) = \sum_{j=1}^{\infty} \chi_F(j) x_j = x(F).$$

The natural domain of R^* is $c(1) = c$. In this section we shall establish the expected relation

$$(4.2) \quad (x, Rz) = [R^*x, z] \quad (x \in m(\alpha), z \in Z(\alpha), \alpha < 1)$$

where

$$(4.3) \quad \begin{aligned} (x, y) &= \sum_{j=1}^{\infty} x_j y_j \\ [w, z] &= \sum_{F \in \mathcal{F}} w(F) z(F). \end{aligned}$$

When $\alpha = 1$, (4.2) is true for $x \in c(1) = c$ and $z \in Z(1)$.

Let $N \geq 1$, and define $\mathcal{F}_N = \{F \in \mathcal{F} | F \cap [1, N] \neq \emptyset\}$. $\mathcal{F}_N \nearrow \mathcal{F} - \{\emptyset\}$ and $\mathcal{F}_N^c \searrow \{\emptyset\}$ as $N \rightarrow \infty$. Recalling that $x(\emptyset) = 0 = z(\emptyset)$, we shall ignore \emptyset .

If $F \in \mathcal{F}_N$ define $A_F = F \cap [1, N]$ and $B_F = F \cap [N + 1, \infty)$. If $x \in m(\alpha)$ ($c(1)$ when $\alpha = 1$) and $z \in Z(\alpha)$, $[R^*x, z]$ exists as an absolutely convergent sum. Moreover, because $x(F) = x(A_F) + x(B_F)$ with $r(A_F), r(B_F) \leq r(F)$, we can write

$$(4.4) \quad \begin{aligned} \sum_{F \in \mathcal{F}_N} x(F) z(F) &= \sum_{F \in \mathcal{F}_N} x(A_F) z(F) + \sum_{F \in \mathcal{F}_N} x(B_F) z(F) = \\ &= \sum_{A \subseteq [1, N]} \sum_{F \in \mathcal{F}_N} x(A) z(F) \delta_{AA_F} + \sum_{F \in \mathcal{F}_N} x(B_F) z(F) = \\ &= \sum_{j=1}^N x_j (Rz)_j + \sum_{F \in \mathcal{F}_N} x(B_F) z(F). \end{aligned}$$

Let $\epsilon > 0$ and $r < \infty$ be fixed. Since x above is a convergent series, N can be chosen so that

$$(4.5) \quad |x(B)| < \epsilon \quad (B \in \mathcal{F}_N^c, r(B) \leq r).$$

We divide the sum on the right in (4.4) according to $r(B_F) \leq r$ and $r(B_F) > r$. The contribution from the first grouping is dominated by $\epsilon \|z\|_\alpha^*$. As for the second grouping

$$(4.6) \quad \left| \sum_{\substack{F \in \mathcal{F}_N \\ r(B_F) > r}} x(B_F) z(F) \right| \leq \|x\|_\alpha \sum_{\substack{F \in \mathcal{F}_N \\ r(F) > r}} |z(F)| r(F)^\alpha$$

which tends to zero as $r \rightarrow \infty$. Finally, $[R^*x, z]$ differs from the left side of (4.4) by a sum over \mathcal{F}_N^c :

$$\left| \sum_{F \in \mathcal{F}_N^c} x(F) z(F) \right| \leq \|x\|_\alpha \sum_{F \in \mathcal{F}_N^c} |z(F)| r(F)^\alpha = o(1)$$

where $o(1)$ is as $N \rightarrow \infty$. Collecting results, we have proved

Proposition 4.7. *If $0 \leq \alpha < 1$ and $x \in m(\alpha)$, $z \in Z(\alpha)$, or if $\alpha = 1$ and $x \in c(1)$, $z \in Z(1)$, then*

$$(4.8) \quad (x, Rz) = [R^*x, z]$$

where (\cdot, \cdot) and $[\cdot, \cdot]$ are defined by (4.3).

5. CHARACTERIZATION OF $l(\alpha)$

Recall that $l(\alpha)$ is defined to be the β -dual of $c(\alpha)$. If $\varphi \in c(\alpha)^*$, define $y_i = \varphi(e_i)$, $e_i \sim \sum \delta_{ij}$. Since $x = \sum_{i=1}^\infty x_i e_i$, the series converging in the $c(\alpha)$ norm, we have

$$(5.1) \quad \varphi(x) = \sum_{i=1}^\infty x_i y_i = (x, y) \quad (x \in c(\alpha)).$$

Conversely, every $y \in l(\alpha)$ defines $\varphi \in c(\alpha)^*$ by the uniform boundedness principle. In what follows we shall characterize $l(\alpha)$ as $RZ(\alpha)$.

Lemma 5.2. *If $x \in m(\alpha)$, then $x \in c(\alpha)$ if, and only if,*

$$(5.3) \quad \lim_{r(F) \rightarrow \infty} \frac{x(F)}{r(F)^\alpha} = 0.$$

Proof. If $F = \bigcup_{j=1}^r I_j$, $r = r(F)$, is a union of maximal segments, then $x(F) = (T_\sigma x)[1, n]$ for an appropriate n and $\sigma \in \Sigma(\sigma^{-1}[1, n] = F)$. As we have seen before $\|\sigma\|$ and $r(F)$ are comparable, and therefore (5.3) is true if $x \in c(\alpha)$. Conversely, if x satisfies (5.3), and if $\sigma \in \Sigma$ is such that $\|T_\sigma x\| > \|x\|$, select n such that $\|T_\sigma x\| = |x(\sigma^{-1}[1, n])|$. Then $\|\sigma\|$ dominates $r(\sigma^{-1}[1, n])$, and therefore (5.3) implies $\lim_{\|\sigma\| \rightarrow \infty} \frac{\|T_\sigma x\|}{\|\sigma\|^\alpha} = 0$. The lemma is proved.



Set up the space $\mathcal{F} \times \mathbb{N}$, and embed $\mathcal{F} - \{\emptyset\}$ in the product as the graph of $r(\cdot)$. Define X to be the closure of this graph when \mathcal{F} is endowed with the product topology as a subset of $\{0, 1\}^{\mathbb{N}}$. X is precisely the set

$$(5.4) \quad X = \{(F, r) \in \mathcal{F} \times \mathbb{N} \mid F = \emptyset \text{ and } r \geq 1 \text{ or } F \neq \emptyset \text{ and } r \geq r(F)\}$$

X is a countable, locally compact metric space.

Each $x \in m(\alpha)$, $\alpha < 1$, determines a function f_x on $\mathcal{F} \times \mathbb{N}$, where

$$(5.5) \quad f_x(F, r) = x(F).$$

Lemma 5.6. *The map $x \rightarrow f_x|_X$ sends $m(\alpha)$, $\alpha < 1$, to a subspace of $C(X)$.*

Proof. It is sufficient to prove that if $\lim_k (F_k, r(F_k)) = (F, r)$, then $\lim_k x(F_k) = x(F)$. Clearly, $r(F_k) = r$, large k , and $r(F) \leq r$. This means one or more segments of F_k may «slide» to ∞ . Since x is a convergent series and $r(F_k)$ is bounded, $x(F_k) \rightarrow x(F)$ ($=0$ if $F = \emptyset$). The lemma is proved.

Define $B_\alpha(X)$ to be the set of continuous functions f on X such that $f(\emptyset, r) = 0$ and

$$(5.7) \quad \|f\|_{\alpha, \infty} = \sup_{\substack{(F, r) \in X \\ F \neq \emptyset}} \frac{|f(F, r)|}{r^\alpha} < \infty.$$

Define $C_\alpha(X)$ to be the closed subspace of $B_\alpha(X)$ consisting of f such that

$$(5.8) \quad \lim_{(F, s) \rightarrow \infty} \frac{f(F, s)}{s^\alpha} = 0.$$

The map $f \rightarrow \frac{f(F, s)}{s^\alpha}$ sends $C_\alpha(X)$ isometrically onto a subspace of the continuous functions vanishing at ∞ on X . It follows readily that $C_\alpha(X)^*$ is identified with the space of functions w on X such that

$$(5.9) \quad \|w\|_\alpha^* = \sup_{\substack{(F, r) \in X \\ F \neq \emptyset}} |w(F, r)| r^\alpha < \infty$$

(and $w(\emptyset, r) = 0$, $r \geq 1$).

It is clear that if $\alpha < 1$, the map $x \rightarrow f_x$ is an isometry between $(m(\alpha), \|\cdot\|_\alpha)$ and a subspace of $(B_\alpha(X), \|\cdot\|_{\alpha, \infty})$ which sends $c(\alpha)$ to a closed subspace of $C_\alpha(X)$ (even when $\alpha = 1$).

Theorem 5.10. *Let $0 < \alpha \leq 1$, and let $Z(\alpha)$ be as in section 1. Then*

$$(5.11) \quad RZ(\alpha) = l(\alpha)$$

where $l(\alpha)$ is the β -dual of $c(\alpha)$.

Proof. Proposition 4.7 implies $RZ(\alpha) \subseteq l(\alpha)$. For the reverse inclusion let $y \in l(\alpha)$ determine $\varphi \in c(\alpha)^*$. Regard $c(\alpha)$ as a closed subspace of $C_\alpha(X)$, and make a Hahn-Banach extension Φ of φ . Φ is represented by w with $\|w\|_\alpha^* < \infty$ ((5.9)). We have setting $z(F) = \sum_{(F,s) \in X} w(F,s)$ (a sum over $s \geq r(F)$ if $F \neq \emptyset$)

$$(x, y) = \varphi(x) = \Phi(f_x) = \sum_{(F,r) \in X} x(F)w(F,r) = \sum_{F \in \mathcal{F}} x(F)z(F).$$

Now $|z(F)|r(F)^\alpha = |\sum_{s \geq r(F)} w(F,s)r(F)^\alpha|$, and therefore $\|z\|_\alpha^* \leq \|w\|_\alpha^* = \|\Phi\|$. ($\|z\|_\alpha^*$ refers to the norm on $Z(\alpha)$.) It follows $y = Rz$, $z \in Z(\alpha)$, and the theorem is proved.

We remark that because $\|z\|_\alpha^* \geq \|\varphi\| = \|\Phi\| = \|w\|_\alpha^*$ above, it must be that $w(F,s) = 0$, $s > r(F)$. Also, we have proved that $\|\cdot\|_\alpha$ and $\|\cdot\|_\alpha^*$ ((1.10)) are dual norms.

$$6. \quad l(\alpha)^* = l_0(\alpha)^* \oplus \mathbb{R}\psi_0 = m(\alpha) \oplus \mathbb{R}\psi_0.$$

We begin with the observation that the Banach dual to $(Z(\alpha), \|\cdot\|_\alpha^*)$ can be identified with the set $W(\alpha)$ of functions $w(\cdot)$ on \mathcal{F} such that $w(\emptyset) = 0$ and $\|w\|_\alpha^{**} < \infty$ where

$$(6.1) \quad \|w\|_\alpha^{**} = \sup_{\substack{F \in \mathcal{F} \\ F \neq \emptyset}} \frac{|w(F)|}{r(F)^\alpha}.$$

Remark 6.2. If $\alpha < 1$ and $x \in m(\alpha)$, or if $\alpha = 1$ and $x \in c(1)$, then

$$(6.3) \quad \|R^*x\|_\alpha^{**} = \|x\|_\alpha.$$

It is only necessary to observe that R^*x is defined on $\mathcal{F}_0 \cup \mathcal{F}_0^c = \mathcal{F}$ and the definition (3.13) is unchanged when \mathcal{F}_0 is replaced by \mathcal{F} .

Lemma 6.4. *Let $z(\cdot)$ be summable on \mathcal{F} , and assume $Rz = 0$. Then*

$$(6.5) \quad \sum_{F \in \mathcal{F}_0^c} z(F) = 0.$$

Proof. Define $(R_0 z)_j = \sum_{F \in \mathcal{F}_0} x_F(j) z(F)$. Clearly, $\lim_{j \rightarrow \infty} (R_0 z)_j = 0$. For each N we have

$$0 = (Rz)_N = (R_0 z)_N + \sum_{F \in \mathcal{F}_0^c} \chi_F(N) z(F) = o(1) + \sum_{F \in \mathcal{F}_0^c} \chi_F(N) z(F).$$

As $\lim_{N \rightarrow \infty} \chi_F(N) = 1$, $F \in \mathcal{F}_0^c$, (6.5) follows from the bounded convergence theorem.

Now we shall analyze $l(\alpha)^*$, assuming $\alpha < 1$. To this end fix $\psi \in l(\alpha)^*$, and define $\Psi = \psi \circ R \in Z(\alpha)^*$. As noted in connection with (6.1), Ψ is represented by an element $w(\cdot) \in W(\alpha)$, and w satisfies

$$(6.6) \quad [w, z] = 0 \quad (z \in Z(\alpha) \cap \ker R).$$

To exploit (6.6) let $F_1, F_2 \in \mathcal{F}$, and construct a test element $z \in \ker R$, supported on the four points $F_1, F_2, F_1 \cup F_2$ and $F_1 \cap F_2$, by

$$z(F) = \begin{cases} 1 & F = F_1 \cup F_2, F_1 \cap F_2 \\ -1 & F = F_1, F_2 \\ 0 & \text{otherwise} \end{cases}.$$

Since $Rz = 0$, (6.6) implies

$$(6.7) \quad w(F_1 \cup F_2) = w(F_1) + w(F_2) - w(F_1 \cap F_2).$$

Since $w(\phi) = 0$, $w(\cdot)$ is additive on the ring \mathcal{F}_0 . Define $x_j = w(\{j\})$, and observe that by definition (3.13)

$$(6.8) \quad |||x|||_\alpha \leq ||w||_\alpha^{**} = ||\Psi|| = ||\psi||.$$

It follows that $x \in m(\alpha)$, and since by assumption $\alpha < 1$, $R^*x \in W(\alpha)$. Let $w_0 = w - R^*x$. By construction, w_0 is supported on \mathcal{F}_0^c . If $F \in \mathcal{F}_0^c$, then $F^c \in \mathcal{F}_0$, and (6.7) implies

$$(6.9) \quad w_0(\mathbf{N}) = w_0(F) + w_0(F^c) = w_0(F).$$

That is, w_0 is constant on \mathcal{F}_0^c , and we have for $y = Rz$

$$(6.10) \quad \psi(y) = \Psi(z) = [w, z] = [R^*x, z] + w_0(\mathbf{N}) \sum_{F \in \mathcal{F}_0^c} z(F) = (x, y) + w_0(\mathbf{N}) \psi_0(y)$$

where

$$(6.11) \quad \psi_0(y) = \sum_{F \in \mathcal{F}_0^c} z(F) \quad (y = Rz).$$

Lemma 6.4 implies (6.11) is well-defined on $l(\alpha)$ and therefore $l(\alpha)^* = m(\alpha) \oplus \mathbf{R}$ as exhibited in (6.10)-(6.11). We define

$$(6.12) \quad l_0(\alpha) = \{y \in l(\alpha) \mid \psi_0(y) = 0\}.$$

Theorem 6.13. *Let $0 \leq \alpha < 1$. The β -dual of $l(\alpha)$ is $m(\alpha)$. $m(\alpha)$ is the Banach dual of $l_0(\alpha)$ while $m(\alpha) \oplus \mathbf{R} \psi_0$ is the Banach dual of $l(\alpha)$.*

Proof. We already know from Proposition 4.7 that $m(\alpha) \subseteq \beta$ -dual $l(\alpha)$, $\alpha < 1$. Conversely, suppose (\bar{x}, y) exists for all $y \in l(\alpha)$. The uniform boundedness principle and the preceding discussion imply there exist $x \in m(\alpha)$ and $t \in \mathbf{R}$ such that

$$(6.14) \quad (\bar{x}, y) = (x, y) + t\psi_0(y) \quad (y \in l(\alpha)).$$

We must show that $t = 0$ and $\bar{x} = x$. The proof of Lemma 6.4 shows that

$$\lim_{n \rightarrow \infty} y_n = \psi_0(y) \quad (y = Rz \in l(\alpha))$$

and therefore if we replace \bar{x} by $\bar{x} - x$ and reletter, the question reduces to the nature of a sequence \bar{x} such that

$$(\bar{x}, y) = t \lim_{n \rightarrow \infty} y_n \quad (y \in l(\alpha)).$$

Considering $y = e_i \sim \sum \delta_{ji}$, we find $\bar{x} = 0$, and therefore $t = 0$. It follows $m(\alpha)$ is indeed the β -dual of $l(\alpha)$. The relation (6.10) identifies $m(\alpha)$ with $l_0(\alpha)^*$ and $m(\alpha) \oplus \mathbf{R} \psi_0$ with $l(\alpha)^*$. The theorem is proved.

Remark. If $\alpha = 1$, $l(1)$ is identified with $c(1)^*$ by Abel's formula as in the first paragraph of section 1. $l(1)$ is the image of $l^1 \oplus \mathbf{R}$ under the map $(u, t) \mapsto y, y_k = t + \sum_{j=k}^\infty u_j$. Given $\psi \in l(1)^*$, associate to ψ and $\Psi = \psi \circ R$ an element $w \in W(1)$, and use w to determine an element $x \in m(1)$ just as in the proof of Theorem 6.13. However, R^*x does not exist naturally as a function on \mathcal{F} unless $x \in c(1)$. In fact, x belongs to the β -dual of $l(1)$ only if $x \in c(1)$. It remains the case that the β -dual of $l_0(1) \cong \{(u, t) \mid t = 0\}$ is $m(1)$ (Remark 1.16), and the dual of $l(1)$ is isomorphic to $m(1) \oplus \mathbf{R} \psi_0 \cong l_0(1)^* \oplus \mathbf{R} \psi_0$.

REFERENCES

- [K] G. KÖTHE, *Topological vector spaces I*, New York, Springer-Verlag, 1969.

Received January 24, 1991
W.A. Veech
Department of Mathematics
Wiess School of Natural Sciences
Rice University
P.O. Box 1892
Houston, Texas 77251
U.S.A.
Email: Veech @ rice.edn