REMARKS ON THE WEYL QUANTIZEED RELATIVISTIC HAMILTONIAN TAKASHI ICHINOSE (*)

Dedicated to the memory of Professor Gottfried Köthe

1. INTRODUCTION

In this note we consider the same Weyl quantized relativistic Hamiltonian H_A of a spinless particle as in [7], [8], but with a *singular* magnetic vector potential A(x), corresponding to the classical relativistic Hamiltonian (e.g. Landau-Lifschitz [14])

(1.1)
$$\sqrt{c^2(p-A(x))^2+m^2c^4}, \qquad (p,x) \in \mathbb{R}^d \times \mathbb{R}^d,$$

where c>0 is the light velocity and $m\geq 0$ is the mass of the particle. We assume for the magnetic vector potential $A: \mathbb{R}^d \to \mathbb{R}^d, A(x) = (A_1(x), \dots, A_d(x))$, that

(1.2)
$$A(x)$$
 is in $L_{loc}^{2+\delta}$ for some $\delta > 0$.

Then the Weyl quantized relativistic Hamiltonian with magnetic fields or relativistic magnetic Schrödinger operator $H_A = H_A^{c,m}$ corresponding to the classical symbol (1.1) is defined through

$$([H_A - mc^2]u)(x) = -\lim_{r \downarrow 0} \int_{|y| > r} [e^{-iyA(x+y/2)}u(x+y) - u(x)]n(dy)$$

$$= -\lim_{r \downarrow 0} \int_{|y| > r} [e^{-iyA(x+y/2)}u(x+y) - u(x)$$

$$-I_{\{|y| < 1\}}y(\partial_x - iA(x))u(x)]n(dy), \quad u \in C_0^{\infty}(\mathbb{R}^d).$$

Here the $r\downarrow 0$ limit will be taken in L^2 . $I_{\{|y|<1\}}$ is the indicator function of the set $\{|y|<1\}$, and $n(dy)=n^{c,m}(dy)$ is a σ -finite measure on $\mathbb{R}^d\setminus\{0\}$ dependent on the light velocity c>0 and mass $m\geq 0$, called the *Lévy measure*. It behaves as $O(|y|^{-(d+1)})dy$ near y=0 and is a bounded measure on $\{|y|\geq 1\}$, and is, in fact, given by

(1.4)
$$n(dy) = \begin{cases} 2(2\pi)^{-\frac{d+1}{2}} c(mc)^{\frac{d+1}{2}} |y|^{-\frac{d+1}{2}} K_{(d+1)/2}(mc|y|) dy, & m > 0, \\ \pi^{-\frac{d+1}{2}} \Gamma\left(\frac{d+1}{2}\right) c|y|^{-(d+1)} dy, & m = 0, \end{cases}$$

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where $K_{\nu}(z)$ is the modified Bessel function of the third kind of order ν and $\Gamma(z)$ the gamma function. The second equality in (1.3) is due to rotational invariance of the Lévy measure n(dy).

The aim of this note is to discuss the nonrelativistic limit $(c \to \infty)$ and zero-mass limit $(m \downarrow 0)$ for $H_A - mc^2$. The usual factor 1/c in front of A(x) in (1.1) is omitted (cf. [4]) so that it can be kept fixed in the limit $c \to \infty$.

In Section 2, justifying the definition of the Weyl quantized relativistic Hamiltonian H_A , we state the main results, and, in Section 3, give their proof. Section 4 gives some further results on H_A defined through the quadratic form.

2. RESULTS

We assume that A(x) satisfies the condition (1.2), unless otherwise specified.

The following proposition justifies the definition of (1.3).

Proposition 2.1. The $r\downarrow 0$ limit in (1.3) exists in the sense of convergence of L^2 , and H_A defines a symmetric operator in $L^2(\mathbb{R}^d)$ with domain $C_0^\infty(\mathbb{R}^d)$ which is bounded from below by mc^2 . Moreover, if (2.1)

$$A(x)$$
 is in $L_{loc}^{2+\delta}$ for some $\delta > 0$ and $\int_{0 < |y| < 1} y(A(x + y/2) - A(x)) n(dy)$ is in L_{loc}^2 ,

then

$$(2.2) \qquad (I_A - mc^2]u)(x) = -\int_{|y| > 0} [e^{-iyA(x+y/2)}u(x+y) - u(x) - I_{\{|y| < 1\}}y(\partial_x - iA(x))u(x)]n(dy), \quad u \in C_0^{\infty}(\mathbb{R}^d).$$

Notice that the condition (1.2) implies by the Calderon-Zygmund theorem (e.g. [22]) that

(2.3)
$$\lim_{r\downarrow 0} \int_{r<|y|<1} y(A(x+y/2) - A(x)) n(dy)$$

exists in the sense of convergente of $L_{\rm loc}^{2+\delta}$ and so of $L_{\rm loc}^2$. Therefore (2.1) is a slightly stronger requirement than (1.2), in the sense that (2.1) assumes integrability of y(A(x+y/2)-A(x)) with respect to n(dy), which turns out to yield integrability of the integrand on the right-hand side of (2.2).

The definition of H_A through (2.2) has been given first in [7], [8], when

(2.4)
$$A(x)$$
 and $\int_{0<|y|<1} |A(x+y/2)-A(x)||y|n(dy)$ are locally bounded,



together with the proof of its essential selfadjointness on $C_0^\infty(\mathbb{R}^d)$. It is not yet known whether H_A defined by (1.3) and/or (2.2) is essentially selfadjoint on $C_0^\infty({\rm I\!R}^d)$, although it is the case, as to be shown in a forthcoming paper [10], if A(x) satisfies a condition somewhat stronger than (2.1) but weaker than (2.4), i.e. that A(x) is in $L_{loc}^{2+\delta}$ for some $\delta > 0$ and $\int_{0<|y|<1} |y(A(x+y/2)-A(x))| n(dy) \text{ is in } L^2_{loc}.$

For $A(x) \equiv 0$, (1.3) and (2.2) become

$$(H_0 u)(x) \equiv (\sqrt{-c^2 \Delta + m^2 c^4} u(x))(x)$$

$$= mc^2 u(x) - \int_{|y|>0} [u(x+y) - u(x) - I_{\{|y|<1\}} y \partial_x u(x)] n(dy),$$

which is, by Fourier transform, equivalent to the Lévy-Khinchin formula

(2.6)
$$\sqrt{c^2p^2+m^2c^4}=mc^2-\int_{|y|>0}[e^{ipy}-1-I_{\{|y|<1\}}ipy]n(dy).$$

for the conditionally negative definite function $\sqrt{c^2p^2 + m^2c^4} - mc^2$ (e.g. [11], [18]). Here note that $I_{\{|y|<1\}}$ may be replaced by $I_{\{|y|<r\}}$ for any r>0 . The last member of (2.5) exists also for bounded $u \in C^{\infty}(\mathbb{R}^d)$.

When A(x) is sufficiently smooth with bounded derivatives $|\partial^{\alpha}A(x)| \leq C_{\alpha}$, $\alpha =$ = $(\alpha_1, \ldots, \alpha_d)$, $|\alpha| = \alpha_1 + \ldots + \alpha_d \ge 1$, H_A may be defined as the Weyl pseudo-differential operator H_A^w :

$$(2.7) = (2\pi)^{-d} \iint e^{i(x-y)p} \sqrt{c^2 \left(p - A\left(\frac{x+y}{2}\right)\right)^2 + m^2 c^4 u(y) dy dp, u \in \mathcal{S}(\mathbb{R}^d),}$$

where the integral on the right is an oscillatory integral (e.g. [19]). Of course, H_A agrees with H_A^w , when (2.7) makes sense.

Now we consider the nonrelativistic limit problem. For the nonrelativistic magnetic Schrödinger operator

(2.8)
$$H_A^{NR} = (2m)^{-1}(-i\partial - A(x))^2$$

Leinfelder-Simader [15] (cf. [1, p. 11]) proved its essential selfadjointness on $C_0^{\infty}(\mathbb{R}^d)$, if

(2.9)
$$A(x)$$
 is in L_{loc}^4 and $\partial A(x) = \partial_1 A_1(x) + \ldots + \partial_d A_d(x)$ is in L_{loc}^2 .

This is a definitive result in the sense that the condition (2.9) is minimal to assure that H_A^{NR} , (2.8), defines a linear operator in $L^2(\mathbb{R}^d)$ with domain $C_0^{\infty}(\mathbb{R}^d)$. The second half of the condition (2.9) may be thought of as the nonrelativistic limit $(c \to \infty)$ of that of the condition (2.1). In fact, should it hold uniformly for $c \ge 1$ that

$$\int_{0<|y|<1} y(A(x+y/2) - A(x)) n^{c,m}(dy)$$

$$= \int_{0<|y|$$

with a suitable integrability condition on the integrand, we should get $\partial A(x) \in L^2_{l\infty}$ by tending $c \to \infty$.

Theorem 2.2. (Nonrelativistic limit $c \to \infty$). Put m = 1 and write H_A as H_A^c . Assume that A(x) satisfies (2.9). Then as $c \to \infty$,

(2.10)
$$[H_A^c - c^2]u \to H_A^{NR}u \text{ in } L^2, \text{ for } u \in C_0^{\infty}(\mathbb{R}^d).$$

Next we consider the zero-mass limit problem.

Theorem 2.3. (Zero-mass limit $m \downarrow 0$). Put c = 1 and write H_A as H_A^m . Assume A(x) satisfies (1.2). Then

$$(2.11) ||[H_A^m - m]u - H_A^0 u|| \le 2m||u||, for u \in C_0^\infty(\mathbb{R}^d).$$

where $||\cdot||$ is the L^2 norm. Moreover, as $m\downarrow 0$,

(2.12)
$$([H_A^m - m]u, u) \uparrow (H_A^0 u, u), \quad \text{for } u \in C_0^\infty(\mathbb{R}^d).$$

Remark 1. Some consequences of Theorems 2.2 and 2.3 are mentioned, when H_A is essentially selfadjoint on $C_0^{\infty}(\mathbb{R}^d)$. Denote the unique selfadjoint extension of H_A by the same H_A and that of H_A^{NR} by the same H_A^{NR} . Then by Kato [12, VIII, Cor. 1.6, p. 429], the convergence of (2.10) in the nonrelativistic limit implies the strong resolvent convergence

(2.13)
$$([H_A^c - c^2] - \lambda)^{-1} \to (H_A^{NR} - \lambda)^{-1}, c \to \infty,$$

for every nonreal λ , which is, by Kato [12, IX, Theorem 2.16, p. 504], equivalent to the convergence of the semigroup and unitary group

(2.14)
$$\exp\{-t[H_A^c - c^2]\} \to \exp[-tH_A^{NR}], \qquad t \ge 0,$$

(2.15)
$$\exp\{-it[H_A^c - c^2]\} \to \exp[-itH_A^{NR}], \quad t \in \mathbb{R},$$

as $c \to \infty$, on $L^2(\mathbb{R}^d)$ uniformly on bounded intervals of t.

The same is true for the convergence in the zero-mass limit. (2.11) implies

$$(2.16) ([H_A^m - m] - \lambda)^{-1} \to (H_A^0 - \lambda)^{-1}, m \downarrow 0,$$

for every nonreal λ , which is equivalent to

(2.17)
$$\exp\{-t[H_A^m - m]\} \to \exp[-tH_A^0], \quad t \ge 0,$$

(2.18)
$$\exp\{-it[H_A^m - m]\} \to \exp[-itH_A^0], \qquad t \in \mathbb{R},$$

as $m \downarrow \infty$, on $L^2(\mathbb{R}^d)$ uniformly on bounded intervals of t.

Remark 2. When A(x) is sufficiently smooth and bounded together with its derivatives of sufficiently higher order, Ichinose [5] (cf. [6]) showed (2.14) (and hence (2.15)), using the path integral representation of the semigroup $\exp\{-t[H_A^c - c^2]\}$ established in [9] to prove its convergence in the nonrelativistic limit to the Feynmann-Kac-Itô formula (e.g. [20]) of the semigroup $\exp[-tH_A^{NR}]$.

Remark 3. Nagase-Umeda ([16], [17]) proved, for A(x) sufficiently smooth with $|\partial^{\alpha}A(x)| \leq C_{\alpha}$, $|\alpha| \geq 1$, an estimate slightly weaker than (2.11) for the pseudo-differential operator H_A^w as well as its essential selfadjointness.

Remark 4. In Section 4 we refer to the definition of the Weyl quantized relativistic Hamiltonian or relativistic magnetic Schrödinger operator H_A through the corresponding quadratic form. But our H_A differs from the square root

(2.19)
$$\sqrt{c^2(-i\partial - A(x))^2 + m^2c^4}$$

of the nonegative selfadjoint operator $c^2(-i\partial - A(x))^2 + m^2c^4$, whether both H_A and $c^2(-i\partial - A(x))^2 + m^2c^4$ are defined as operators or through quadratic forms.

For the nonrelativistic limit for (2.19), De Angelis and Serva [2] has made a probabilistic treatment.

3. PROOFS

First we collect here the notations to be used in the following proofs of Proposition 2.1, Theorems 2.2 and 2.3.

By $||f||_p$ we denote the L^p -norm of a function f(x) in \mathbb{R}^d , while the L^2 -norm simply by ||f||. For a compact set K in \mathbb{R}^d , |K| stands for the volume of K, and for r>0, put $K_r = \{x \in \mathbb{R}^d; dist(x,K) \le r\}$. Put $||f||_{p,K} = ||f||_{L^p(K)}, 1 \le p \le \infty$. For $\alpha > 0$ put

(3.1)
$$n_{\alpha} = \int_{0 < |y| < 1} |y|^{1+\alpha} n(dy),$$

(3.2)
$$N_{\alpha} = \int_{|y| \ge 1} |y|^{\alpha} n(dy).$$

We see from the behavior (1.4) of the Lévy measure n(dy) that n_{α} , $\alpha > 0$, and N_0 are finite. Note that N_0 is denoted in [8] by n_{∞} .

In the following, when we need to emphasize the c- and/or m-dependence, we shall write n(dy) = n(y) dy, n_{α} and N_{α} as $n^{c,m}(dy) = n^{c,m}(y) dy$, $n_{\alpha}^{c,m}$ and $N_{\alpha}^{c,m}$, respectively.

Proof of Proposition 2.1. We assume (1.2). We may suppose that $0 < \delta \le 2$. Let $u \in C_0^{\infty}(\mathbb{R}^d)$ and let K be the support of u, which is compact.

First we show H_A is a linear operator in $L^2(\mathbb{R}^d)$ with domain $C_0^\infty(\mathbb{R}^d)$. Rewrite (1.3) as

$$(H_{A}u)(x) = \left\{ mc^{2}u(x) - \int_{|y|>0} [u(x+y) - u(x) - I_{\{|y|<1\}}y\partial_{x}u(x)]n(dy) \right\}$$

$$+ \int_{|y|\geq 1} -(e^{-iyA(x+y/2)} - 1)u(x+y)n(dy)$$

$$+ \int_{0<|y|<1} -(e^{-iyA(x+y/2)} - 1 + iyA(x+y/2))u(x+y)n(dy)$$

$$+ \int_{0<|y|<1} iyA(x+y/2)(u(x+y) - u(x))n(dy)$$

$$+ \lim_{r\downarrow 0} \int_{r<|y|<1} iy(A(x+y)/2) - A(x))u(x)n(dy)$$

$$\equiv (H_{0}u)(x) + \sum_{j=1}^{4} (I_{j}u)(x).$$

By (2.5), $H_0 u$ is in L^2 . So we must show that the $I_j u$ belong to $L^2(\mathbb{R}^d)$, j = 1, 2, 3, 4.

We can obtain by the Schwarz and Hölder inequalities

$$||I_{1}u|| \leq 2 N_{0}||u||,$$

$$||I_{2}u|| \leq 3 n_{\delta/2} ||A||_{2+\delta,K_{1}}^{(2+\delta)/2} ||u||_{\infty},$$

$$||I_{3}u|| \leq n_{1} ||A||_{2,K_{1}} ||\partial u||_{\infty} \leq |K_{1}|^{\delta/2(2+\delta)} n_{1} ||A||_{2+\delta,K_{1}} ||\partial u||_{\infty},$$

$$||I_{4}u|| \leq C_{K} ||A||_{2,K_{1}} ||u||_{\infty} \leq C_{K} |K_{1}|^{\delta/2(2+\delta)} ||A||_{2+\delta,K_{1}} ||u||_{\infty}.$$

Here, to get the estimate for $I_2 u$, use is made of

$$|e^{-it}-1+it| \leq 3|t|^{(2+\delta)/2}, \quad 0<\delta\leq 2.$$

In the first inequality for I_4u we have used the Calderno-Zygmund theorem (e.g. [22]); $C_K>0$ is a constant independent of A and dependent on K. When A(x) satisfies (2.1) rather than (1.2), we see the expression for I_4u is valid with $\lim_{r\downarrow 0}\int_{r<|y|<1}$ replaced by $\int_{0<|y|<1}$ and have $||I_4u|| \leq C(A,K)||u||_{\infty}$, with a finite constant

$$C(A,K) = \left(\int_{K} \left| \int_{0 < |y| < 1} y(A(x+y/2) - A(x)) n(dy) \right|^{2} dx \right)^{1/2}.$$

Next to see that H_A is a symmetric operator on $C_0^\infty({\rm I\!R}^d)$, put (3.5)

$$(H_A u)(x) = L^2 - \lim_{r \downarrow 0} (H_{A,r} u)(x)$$

$$\equiv L^2 - \lim_{r \downarrow 0} \left\{ mc^2 u(x) - \int_{|y| \ge r} [e^{-iyA(x+y/2)} u(x+y) - u(x)] n(dy) \right\},$$

$$u \in C_0^{\infty}(\mathbb{R}^d),$$

Hence $(H_A u, v) = \lim_{r \downarrow 0} (H_{A,r} u, v) = \lim_{r \downarrow 0} (u, H_{A,r} v) = (u, H_A v)$, for $u, v \in C_0^{\infty}(\mathbb{R}^d)$.

Finally, we show that $H_A - mc^2$ is nonegative. Let $u \in C_0^\infty(\mathbb{R}^d)$ and $u_\varepsilon(x) = \sqrt{|u(x)|^2 + \varepsilon^2}$, $\varepsilon > 0$. Then u_ε is C^∞ and bounded. Note that $-|u(x)||u(x+y)| + |u(x)|^2 \ge -u_\varepsilon(x)u_\varepsilon(x+y) + u_\varepsilon(x)^2$, and $\partial |u(x)|^2 = \partial u_\varepsilon(x)^2$. By taking a subsequence $r \downarrow 0$ if necessary, we have for a.e. x (for simplicity, writing $((H_A - mc^2)u)(x)$)

and
$$((H_0 - mc^2)u_{\varepsilon})(x)$$
 as $(H_A - mc^2)u(x)$ and $(H_0 - mc^2)u_{\varepsilon}(x)$, respectively)

$$\operatorname{Re}\left[\overline{u(x)}(H_A - mc^2)u(x)\right]$$

$$= 2^{-1}\left\{\overline{u(x)}(H_A - mc^2)u(x) + u(x)\overline{(H_A - mc^2)u(x)}\right\}$$

$$= 2^{-1}\lim_{r\downarrow 0}\left\{\overline{u(x)}(H_{A,r} - mc^2)u(x) + u(x)\overline{(H_{A,r} - mc^2)u(x)}\right\}$$

$$\geq \lim_{r\downarrow 0}\int_{|y|>r}[-|u(x)||u(x+y)| + |u(x)|^2 + 2^{-1}I_{\{|y|<1\}}y\partial|u(x)|^2]n(dy)$$

$$\geq \int_{|y|>0}[-u_{\varepsilon}(x)u_{\varepsilon}(x+y) + u_{\varepsilon}(x)^2 + 2^{-1}I_{\{|y|<1\}}y\partial u_{\varepsilon}(x)^2]n(dy)$$

$$= u_{\varepsilon}(x)(H_0 - mc^2)u_{\varepsilon}(x).$$

Since $w = u_{\varepsilon} - \varepsilon$ is in C_0^{∞} , $(H_0 - mc^2)u_{\varepsilon} = (H_0 - mc^2)w$ is in L^2 , so that the last member of (3.6) equals

$$w(x)(H_0 - mc^2)w(x) + \varepsilon(H_0 - mc^2)w(x)$$
.

Therefore, integrating the inequality between the first and last member of (3.6), we have

$$(u, (H_A - mc^2)u) \ge (w, (H_0 - mc^2)w) \ge 0$$

proving Proposition 2.1.

In connection with Proposition 2.1 we should like to insert here a comment on [8, Lemma 2.3, pp. 273-277]. The former extends part of the latter, since the latter assumes that A(x) satisfies (2.4), a less general condition than (1.2). However, the proof of this lemma contains some erroneous arguments, although all of its statements are correct. In fact, to establish the estimate $||i_1(\varepsilon)||_{2,K} \le C(K_1)||u||_{2,K_2}$ [8, (2.20), p. 275], we cannot make such a change of the integration variables x + y = x'. The argument in [8, p. 275, lines 1-7 from the top] should read as follows: We use the Schwarz inequality to get

$$\begin{split} ||i_{1}(\varepsilon)||_{2,K} &\leq \left\{ \int_{K_{1}} dx \left(\int_{\varepsilon \leq |y| < 1} [2^{-1}|y|^{2}|A(x+y/2)|^{2} + |y||A(x+y/2) - A(x+y)|]n^{m}(dy) \right) \\ &\qquad \times \int_{\varepsilon \leq |y| < 1} [2^{-1}|y|^{2}|A(x+y/2)|^{2} \\ &\qquad + |y||A(x+y/2) - A(x+y)|]|\varphi(x+y)u(x+y)|n^{m}(dy) \right\}^{1/2} \end{split}$$

and hence obtain

$$||i_1(\varepsilon)||_{2,K} \leq [2^{-1}a(K_2)^2 n_1^m + (b(K_1) + \widehat{b}(K_1))]||\varphi u||_2 \leq C(K_1)||u||_{2,K_2},$$

with [8, (2.5, a, b)] as well as the fact that [8, (2.5b)] implies

$$\widehat{b}(K) \equiv \sup_{x \in K} \int_{0 < |y| < 1} |A(x+y) - A(x)||y|n^m(dy) < \infty$$

for every compact set K. The same care should be taken in showing

$$||i_1(\varepsilon)||_{\infty,K_1} \leq C(K_1)||u||_{\infty}, \quad \text{and} \quad ||i_2(\varepsilon)||_{\infty,K_1} \leq C_K \left[||u||_{\infty} + \sum_{j=1}^d ||\partial_j u||_{\infty}\right],$$

[8, p. 277, lines 3-5 from the top] and [8, (3.46), p. 287]. However, a simpler proof of this lemma can be given, using the same decomposition (3.3) of $H_A u$ as in the proof of Proposition 2.1.

To prove Theorems 2.2 and 2.3 we need some properties of the Lévy measure $n(dy) = n^{c,m}(dy) = n^{c,m}(y) dy$, (1.4), where $n^{c,m}(y)$ is the density function of $n^{c,m}(dy)$ with respect to the Lebesgue measure dy, as in the following lemma.

Lemma 3.1. For m > 0,

(3.7)
$$\int_{|y|>0} y_j^2 n^{c,m} (dy) = 1/m, \qquad 1 \le j \le d,$$
$$\int_{|y|>0} |y|^2 n^{c,m} (dy) = d/m.$$

As $c \to \infty$,

(3.8)
$$N_2^{c,m} = \int_{|y|>1} |y|^2 n^{c,m} (dy) \to 0,$$

(3.9)
$$n_2^{c,m} = \int_{0 < |y| < 1} |y|^3 n^{c,m} (dy) \to 0.$$

- (ii) For c > 0, the function $n^{c,m}(y)$ is increasing as $m \downarrow 0$.
- (iii) For c > 0,

(3.10)
$$\int_{|y|>0} [n^{c,m}(y) - n^{c,0}(y)] dy = -mc^2.$$

Proof. (i) We show the second half of (3.7), (3.8) and (3.9); the first half of (3.7) follows from its second half. We have

$$\begin{split} \int_{|y|>0} |y|^2 n^{c,m} (dy) &= C_d S_d m^{-1} \int_0^\infty \rho^{(d+1)/2} K_{(d+1)/2}(\rho) d\rho \\ &= 2 (2\pi)^{-(d+1)/2} S_d m^{-1} 2^{(d-1)/2} \pi^{1/2} \Gamma \left(\frac{d}{2} + 1\right) \\ &= \pi^{-d/2} \Gamma \left(\frac{d}{2} + 1\right) S_d m^{-1} = d/m, \end{split}$$

where $C_d = 2(2\pi)^{-(d+1)/2}$ and $S_d = 2\pi^{d/2}\Gamma\left(\frac{d}{2}\right)^{-1}$ is the area of the (d-1)-dimensional unit sphere. In the second equality we have used an identity for $K_{\nu}(z)$ [3, Chap. 7, 7.7.3, (27), p. 51]. Similarly we have

$$\int_{|y|\geq 1} |y|^2 n^{c,m} (dy) = C_d S_d m^{-1} \int_{mc}^{\infty} \rho^{(d+1)/2} K_{(d+1)/2}(\rho) d\rho,$$

which converges to zero as $c \to \infty$, showing (3.8). We get (3.9), since

$$\int_{0<|y|<1} |y|^3 n^{c,m} (dy) = C_d S_d m^{-2} c^{-1} \int_0^{mc} \rho^{(d+3)/2} K_{(d+1)/2}(\rho) d\rho$$

converges to zero as $c \to \infty$, because the integral on the right is bounded by $2^{(d+1)/2}\Gamma\left(\frac{d+3}{2}\right)$, by use of the same identity for $K_{\nu}(z)$ as used above.

(ii) By (1.4) we have

$$n^{c,m}(y) = C_d c^{d+2} m^{d+1} (mc|y|)^{-(d+1)/2} K_{(d+1)/2} (mc|y|).$$

Therefore, for |y| > 0,

$$\begin{split} C_d^{-1} \frac{d}{dm} n^{c,m}(y) &= c^{d+2} \left\{ (d+1) m^d (mc|y|)^{-(d+1)/2} K_{(d+1)/2}(mc|y|) \right. \\ &+ m^{d+1} c|y| \frac{d}{d(mc|y|)} [(mc|y|)^{-(d+1)/2} K_{(d+1)/2}(mc|y|)] \right\} \\ &= c^{d+2} m^d (mc|y|)^{-(d+1)/2} [(d+1) K_{(d+1)/2}(mc|y|) \\ &- mc|y| K_{(d+3)/2}(mc|y|)] \\ &= -c^{d+2} m^d (mc|y|)^{-(d-1)/2} K_{(d-1)/2}(mc|y|) < 0 \,. \end{split}$$

Here we have used, in the second equality, the identity

$$z^{-1}(d/dz)[z^{-\nu}K_{\nu}(z)] = -z^{-(\nu+1)}K_{\nu+1}(z)$$

[3, Chap. 7, 7.11, (22), p. 79] and, in the last equality, the identity

$$2\nu K_{\nu}(z) - zK_{\nu+1}(z) = -zK_{\nu-1}(z)$$

- [3, Chap. 7, 7.11, (25), p. 79]. This proves that $n^{c,m}(y)$ is decreasing as m increases or the desired assertion.
 - (iii) The assertion is trivial for m = 0. For m > 0, we obtain from the proof of (ii)

$$\begin{split} n^{c,m}(y) - n^{c,0}(y) &= \int_0^m \frac{d}{ds} n^{c,s}(y) \, ds \\ &= -C_d c^{d+2} \int_0^m s^d (sc|y|)^{-(d-1)/2} \, K_{(d-1)/2}(sc|y|) \, ds. \end{split}$$

Then

$$\begin{split} \int_{|y|>0} [\,n^{c,m}(y)\,-n^{c,0}(y)\,]\,dy \\ &= -C_d S_d c^2 \int_0^m ds \int_0^\infty \rho^{(d-1)/2} \,K_{(d-1)/2}(\rho)\,d\rho. \\ &= -C_d S_d c^2 \,m 2^{\,(d-3)/2} \,\pi^{1/2} \,\Gamma\left(\frac{d}{2}\right) = -mc^2\,, \end{split}$$

where in the last equality we have again used the same identity for $K_{\nu}(z)$ as above [3, Chap. 7, 7.7.3, (27), p. 51].

Proof of Theorem 2.2. Write n(dy) as $n^c(dy)$. Let $u \in C_0^{\infty}(\mathbb{R}^d)$ and let K be the support of u. Since

$$(H_A^{NR}u)(x) = -2^{-1}[\partial_x^2 - i(\partial_x A)(x) - 2iA(x)\partial_x - A(x)^2]u(x),$$

we have with (3.7)

$$(3.11) \quad ([H_A^c - c^2 - H_A^{NR}]u)(x)$$

$$= \left\{ -\int_{|y|>0} [u(x+y) - u(x) - I_{\{|y|<1\}}y\partial_x u(x)]n^c(dy) + 2^{-1}\partial_x^2 u(x) \right\}$$

$$+ \left\{ -\int_{|y|\geq 1} [e^{-iyA(x+y/2)} - 1]u(x+y)n^c(dy) \right\}$$

$$+ \left\{ -\int_{0<|y|<1} [e^{-iyA(x+y/2)} - 1 + iyA(x+y/2)]u(x+y)n^c(dy) - 2^{-1}A(x)^2 u(x) \right\}$$

$$+ \left\{ \int_{0 < |y| < 1} iy A(x + y/2) (u(x + y) - u(x)) n^{c}(dy) - iA(x) \partial_{x} u(x) \right\}$$

$$+ \left\{ \lim_{r \downarrow 0} \int_{r < |y| < 1} iy (A(x + y/2) - A(x)) u(x) n^{c}(dy) - 2^{-1} i(\partial_{x} A)(x) u(x) \right\}$$

$$\equiv \sum_{j=1}^{5} (\Delta_{j} u)(x).$$

We want to show that all $\Delta_j u$, $1 \le j \le 5$, on the right of (3.11) converge to 0 as $c \to \infty$. We use the notations at the beginning of this section.

For $\Delta_1 u$: This term refers to the difference between the free relativistic and nonrelativistic Schrödinger operators. We have

(3.12)
$$||\Delta_1 u|| = ||(\sqrt{-c^2 \Delta + c^4} - c^2) u + 2^{-1} \Delta u||.$$

which is by Fourier transform equal to

$$\left\| \left[\left(\sqrt{c^2 p^2 + c^4} - c^2 \right) - 2^{-1} p^2 \right] \hat{u} \right\| = \left\| \left(\frac{p^2}{\sqrt{(p/c)^2 + 1} + 1} - \frac{p^2}{2} \right) \hat{u} \right\|,$$

tending to zero as $c \to \infty$, where $\hat{u}(p)$ is the Fourier transform of

$$u(x): \hat{u}(p) = (2\pi)^{-d/2} \int e^{ipy} u(x) dx$$

For $\Delta_2 u$: By the Schwarz inequality we can show

$$||\Delta_2 u|| \le 2 N_0^c ||u||,$$

which tends to zero as $c \to \infty$, because $N_0^c \le N_2^c \to 0$, by Lemma 3.1, (3.8).

For $\Delta_3 u$: Decompose it into three terms

$$(\Delta_{3}u)(x)$$

$$= -\int_{0<|y|<1} [(e^{-iyA(x+y/2)} - 1 + iyA(x+y/2))$$

$$+ 2^{-1}(yA(x))^{2}]u(x+y)n^{c}(dy)$$

$$+ 2^{-1}\int_{0<|y|<1} (yA(x))^{2}(u(x+y) - u(x))n^{c}(dy)$$

$$- 2^{-1}\int_{|y|\geq 1} (yA(x))^{2}u(x)n^{c}(dy)$$

$$\equiv \sum_{k=1}^{3} (\Delta_{3k}u)(x).$$

Here we have used not only (3.7) but also $\int_{|y|>0} y_j y_k n^c(dy) = 0$ for $j \neq k, 1 \leq j, k \leq d$, so that $\int_{|y|>0} (yA(x))^2 n^c(dy) = A(x)^2$. We estimate these three $\Delta_{3k}u$. As for $\Delta_{31}u$, we first make the change of variables y = y'/c (write y again instead of y'), noting $\int_{0 < |y| < 1} f(y) n^c(dy) = c^2 \int_{0 < |y| < c} f(y) n^1(dy)$, and then apply the mean value theorem to get

$$(\Delta_{31}u)(x)$$

$$= \int_{0<|y|

$$\times u(x+y/c)n^1(dy).$$$$

Hence we obtain by the Schwarz inequality with (3.7)

$$||\Delta_{31}u|| \le d^{1/2}||u||_{\infty} \left\{ \int_{0 < |y| < c} |y|^2 n^1 (dy) \right.$$

$$\times \int_{K_1} dx \left| (\tilde{y}A(x + y/2c))^2 \cdot \int_0^1 (1 - \theta) e^{-i(\theta/c)yA(x + y/2c)} d\theta - 2^{-1}(\tilde{y}A(x))^2 \right|^2 \right\}^{1/2}$$

with $\tilde{y}=y/|y|$. The dx-integral over K_1 on the right of (3.14b), which is a function of y, is bounded for all y and c with |y| < c and $c \ge 1$, and convergent to 0 as $c \to \infty$, because, as $y \to 0$, A(x+y/2) is convergent to A(x) in L^4_{loc} as well as a.e. Since $|y|^2 n^1(dy)$ is by (3.7) a finite measure on $\mathbb{R}^d \setminus \{0\}$, it follows by the Lebesgue bounded convergence theorem that the $|y|^2 n^1(dy)$ -integral on the right of (3.14b) tends to zero as $c \to \infty$. For the other $\Delta_{32}u$ and $\Delta_{33}u$ we can also show

$$||\Delta_{32} u|| \le 2^{-1} n_2^c ||A||_{4.K_1}^2 ||\partial u||_{\infty},$$

and

$$||\Delta_{33} u|| \le 2^{-1} N_2^c ||A||_{4,K}^2 ||\partial u||_{\infty},$$

both of which tend to zero as $c \to \infty$, because $N_2^c \to 0$ and $n_2^c \to 0$, by Lemma 3.1. Thus with (3.14abcd) we have shown $\Delta_3 u \to 0$ in L^2 as $c \to \infty$.

For $\Delta_4 u$: Decompose it into three terms:

$$\begin{split} &(\Delta_4 u)(x) \\ &= i \int_{0 < |y| < 1} y A(x + y/2) (u(x + y) - u(x) - (y\partial_x) u(x)) n^c(dy) \\ &+ i \int_{0 < |y| < 1} y (A(x + y/2) - A(x)) (y\partial_x) u(x) n^c(dy) \\ &- i \int_{|y| \ge 1} (y A(x)) (y\partial_x) u(x) n^c(dy) \\ &\equiv \sum_{k=1}^3 (\Delta_{4k} u)(x), \end{split}$$

where we have used (3.7) and $\int_{|y|>0} y_j y_k n^c(dy) = 0 \text{ for } j \neq k, 1 \leq j, k \leq d, \text{ or } \int_{|y|>0} (yA(x))(y\partial_x) u(x) n^c(dy) = A(x)\partial_x u(x)$. By the Schwarz inequality we have

(3.15b)
$$||\Delta_{41}u|| \leq 2^{-1}d^2 n_2^c ||A||_{2,K_1} \sup_{1 \leq j,k \leq d} ||\partial_j \partial_k u||_{\infty},$$

$$||\Delta_{42} u|| \le d^{1/2} \left(\int_{0 < |y| < c} |y|^2 n^1 (dy) \cdot \int_K dx |A(x + y/2c) - A(x)|^2 \right)^{1/2} ||\partial u||_{\infty},$$

$$||\Delta_{43} u|| \le N_2^c ||A||_{2,K} ||\partial u||_{\infty},$$

where to get (3.15c) we have used (3.7) and made the change of variables y = y'/c. It is clear that as $c \to \infty$, $\Delta_{41}u$ and $\Delta_{43}u$ tend to zero, because n_2^c and $N_2^c \to 0$. To see $\Delta_{42}u \to 0$, we apply analogous arguments used for $\Delta_{31}u$ in (3.14b). The dx-integral over K on the right-hand side of (3.15c), which is a function of y, is bounded for all y and c with |y| < c and $c \ge 1$, and convergent to 0 as $c \to \infty$, because, as $y \to 0$, A(x + y/2) is

convergent to A(x) in L^4_{loc} and hence in L^2_{loc} as well as a.e. Since $|y|^2 n^1(dy)$ is by (3.7) a finite measure on $\mathbb{R}^d \setminus \{0\}$, its integral on the right-hand side of (3.15c) converges to 0, as $c \to \infty$, by the Lebesgue bounded convergence theorem, yielding $\Delta_{42} u \to 0$. Thus we have shown $\Delta_4 u \to 0$.

For $\Delta_5 u$: Let $\chi(x)$ be a nonnegative C^∞ function with compact support such that $\chi(x)=1$ on K_1 and supp $\chi\subset K_2$. Note that if $\partial A(x)$ is in $L^2_{l\infty}$, $\partial(\chi(x)A(x))$ is in L^2 . Then we have

$$||\Delta_5 u|| \leq \delta^c(K) ||u||_{\infty},$$

with

$$\delta^{c}(K) \equiv \lim_{r \downarrow 0} \left(\int_{K} \left| \int_{r < |y| < 1} iy (A(x + y/2) - A(x)) n^{c}(dy) - 2^{-1} i \partial A(x) \right|^{2} dx \right)^{1/2},$$

where note that $A(x) \in L^4_{loc}$ implies $A(x) \in L^2_{loc}$, so that the limit (2.3) exists in the sense of convergence of L^2_{loc} . With $(\chi A)(x) = \chi(x)A(x)$ we obtain

$$\delta^{c}(K)^{2}$$

$$= \lim_{r \downarrow 0} \int_{K} \left| \int_{r < |y| < 1} iy((\chi A)(x + y/2) - (\chi A)(x)) n^{c}(dy) - 2^{-1} i \partial(\chi A)(x) \right|^{2} dx$$

$$\leq \lim_{r \downarrow 0} \int_{\mathbb{R}^{d}} \left| \int_{r < |y| < 1} iy((\chi A)(x + y/2) - (\chi A)(x)) n^{c}(dy) - 2^{-1} i \partial(\chi A)(x) \right|^{2} dx,$$

which is, by the Parseval formula, equal to

$$\lim_{r\downarrow 0} \int_{\mathbb{R}^d} \left| \int_{r<|y|<1} i[e^{ipy/2} - 1]((\widehat{\chi A})(p)n^c(dy) + 2^{-1}p(\widehat{\chi A})(p) \right|^2 dp$$

$$= \int_{\mathbb{R}^d} \left| \int_{0<|y|<1} i[e^{ipy/2} - 1]y((\widehat{\chi A})(p)n^c(dy) + 2^{-1}p(\widehat{\chi A})(p) \right|^2 dp,$$

because the integral $\int_{0<|y|<1} i[e^{ipy}-1]yn^c(dy)$ exists for each fixed p. Since we have from (2.6)

$$\int_{0<|y|<1} i[e^{ipy/2} - 1]yn^{c}(dy) =$$

$$= -\frac{c^{2}p/2}{\sqrt{(cp/2)^{2} + c^{4}}} - \int_{|y|>1} ie^{ipy/2}yn^{c}(dy),$$

we get by the triangle inequality and the Parseval formula

$$\delta^{c}(K) \leq 2^{-1} \left(\int \left| \left(\frac{c^{2}}{\sqrt{(cp/2)^{2} + c^{4}}} - 1 \right) p(\widehat{\chi A})(p) \right|^{2} dp \right)^{1/2}$$

$$+ \left(\int \left| \int_{|y| \geq 1} i e^{ipy/2} y(\widehat{\chi A})(p) n^{c}(dy) \right|^{2} dp \right)^{1/2}$$

$$\leq 2^{-1} \left(\int \left| \left(\frac{1}{\sqrt{(p/2c)^{2} + 1}} - 1 \right) \partial(\widehat{\chi A})(p) \right|^{2} dp \right)^{1/2} + N_{1}^{c} ||(\widehat{\chi A})||,$$

$$= 2^{-1} ||[(1 - (2c)^{-2} \Delta)^{-1/2} - 1] \partial(\chi A)|| + N_{1}^{c} ||\chi A||,$$

which tends to zero as $c \to \infty$, by the Lebesgue dominated convergence theorem or the strong convergence $[(1-(2c)^{-2}\Delta)^{-1/2}-1] \to 0$, and because $N_1^c \le N_2^c \to 0$, by (3.8). It follows with (3.16) that $\Delta_5 u \to 0$. This completes the proof of Theorem 2.2.

Proof of Theorem 2.3. First we show the first assertion. Let $u \in C_0^{\infty}(\mathbb{R}^d)$. By Lemma 3.1 (ii), $n^{(0,m)}(dy) \equiv n^0(dy) - n^m(dy)$ is a positive measure on $\mathbb{R}^d \setminus \{0\}$ if m > 0. We have

$$\begin{aligned} & \|H_{A}^{0}u - [H_{A}^{m} - m]u\|^{2} \\ &= \lim_{r \downarrow 0} \int dx \left| \int_{|y| > r} [e^{-iyA(x+y/2)}u(x+y) - u(x) \right| \\ &- I_{\{|y| < 1\}}y(\partial_{x} - iA(x))u(x)]n^{(0,m)}(dy) \right|^{2} \\ &= \lim_{r \downarrow 0} \inf \int \left| \int_{|y| > r} [e^{-iyA(x+y/2)}u(x+y) - u(x)]n^{(0,m)}(dy) \right|^{2} dx \\ &\leq \liminf_{r \downarrow 0} \int \left| \int_{|y| > r} (|u(x+y)| + |u(x)|)n^{(0,m)}(dy) \right|^{2} dx \\ &\leq \liminf_{r \downarrow 0} \int dx \left| \int_{|y| > r} n^{(0,m)}(dy) \int_{|y| > r} (|u(x+y)| + |u(x)|)^{2} n^{(0,m)}(dy) \right|. \end{aligned}$$

It follows with (3.10) that

$$||H_A^0 u - [H_A^m - m]u|| \le \liminf_{r \downarrow 0} 2 \int_{|u| > r} n^{(0,m)} (dy) ||u|| \le 2 m ||u||.$$

Next we show the second assertion. Let $0 \le m \le m'$. The proof will proceed analogously with the arguments used to prove $H_A \ge mc^2$ in the proof of Proposition 2.1.

Let $u \in C_0^{\infty}(\mathbb{R}^d)$ and $u_{\varepsilon}(x) = \sqrt{|u(x)|^2 + \varepsilon^2}, \varepsilon > 0$. Then u_{ε} is C^{∞} and bounded. By taking a subsequence $r \downarrow 0$ if necessary, we have, for a.e. x, (3.17)

$$\begin{split} &\text{Re}[\,\overline{u(x)}(\,H_A^m-m)\,u(x)-\overline{u(x)}(\,H_A^{m'}-m')\,u(x)\,]\\ &=\lim_{r\downarrow 0}\,2^{-1}\int_{|y|>r}(-\overline{u(x)}[\,e^{-iyA(x+y/2)}u(\,x+y)\,-u(\,x)\,-\,I_{\{|y|<1\}}y(\,\partial_x-iA(\,x))\,u(\,x)\,]\\ &+u(\,x)[\,e^{iyA(\,x+y/2)}\,\overline{u(\,x+y)}\,-\,\overline{u(\,x)}\,-\,I_{\{|y|<1\}}y(\,\partial_x+iA(\,x))\,\overline{u(\,x)}\,])\,n^{(m,m')}(\,dy)\,, \end{split}$$

where $n^{(m,m')}(dy) \equiv n^m(dy) - n^{m'}(dy)$ is a positive measure on $\mathbb{R}^d \setminus \{0\}$, by Lemma 3.1. (ii). It follows that the right-hand side of (3.17) is larger than or equal to

$$\begin{split} &\lim_{r\downarrow 0} \int_{|y|>r} [-|u(x)||u(x+y)| + |u(x)|^2 + 2^{-1}I_{\{|y|<1\}}y\partial|u(x)|^2]n^{(m,m')}(dy) \\ &\geq \int_{|y|>0} [-u_{\varepsilon}(x)u_{\varepsilon}(x+y) + u_{\varepsilon}(x)^2 + 2^{-1}I_{\{|y|<1\}}y\partial u_{\varepsilon}(x)^2]n^{(m,m')}(dy) \\ &= u_{\varepsilon}(x)(H_0 - m)u_{\varepsilon}(x) - u_{\varepsilon}(x)(H_0 - m')u_{\varepsilon}(x). \end{split}$$

Thus

$$\operatorname{Re}\left[\overline{u(x)}(H_A^m - m)u(x) - \overline{u(x)}(H_A^{m'} - m')u(x)\right]$$

$$\geq u_{\epsilon}(x)[(H_0 - m) - (H_0 - m')]u_{\epsilon}(x).$$

Integrating both sides we get

$$(u, (H_A^m - m)u) - (u, (H_A^{m'} - m')u) \ge (u_{\varepsilon}, [(H_0^m - m) - (H_0^{m'} - m')]u_{\varepsilon}) \ge 0$$
, ending the proof of Theorem 2.3.

4. NOTES

If the magnetic vector potential $A: \mathbb{R}^d \to \mathbb{R}^d$ is in $L^{1+\delta}_{loc}$ for some $\delta>0$, we can define the Weyl quantized relativistic Hamiltonian with magnetic fields or relativistic magnetic Schrödinger operator, denoted by $H^{c,m}_A$ again, as the selfadjoint operator associated with the closed quadratic form

$$h_A^{c,m}[u,u] = mc^2 ||u||^2$$

$$+ \frac{1}{2} \iint_{|x-y|>0} |e^{-i(x-y)A(2^{-1}(x+y))} u(x) - u(y)|^2 \cdot n^{c,m}(x-y) dxdy,$$

$$u \in Q[h_A^{c,m}],$$

with domain $Q[h_A^{c,m}]$, which is the subspace of $L^2(\mathbb{R}^d)$ of the functions u such that the integral on the right-hand side of (4.1) is finite. Here $n^{c,m}(s)$ is the density function of the Lévy measure $n^{c,m}(dz)$, (1.4): $n^{c,m}(dz) = n^{c,m}(z)dz$. In view of the elementary inequality $|e^{-it}-1| \leq 2|t|^{(1+\delta)/2}$, $0 < \delta \leq 1$, it can be shown that $C_0^{\infty}(\mathbb{R}^d)$ is not only a subspace of $Q[h_A^{c,m}]$ but also a form core of $H_A^{c,m}$.

If A(x) is in L^2_{loc} , the nonrelativistic magnetic Schrödinger operator H^{NR} can also defined through the quadratic form

$$(4.2) h_A^{NR}[u,u] = (2m)^{-1} ||(-i\partial - A)u||^2$$

(See Kato [13], Simon [21] and also [15], [1, p. 8]).

For the nonrelativistic limit $(c \to \infty)$ and zero-mass limit $(m \downarrow 0)$ for $h_A^{c,m}$, it will be shown that if A(x) is in L^2_{loc} , then

$$h_A^{c,1}[u,u] - c^2 ||u||^2 \to h_A^{NR}[u,u], \text{ as } c \to \infty (m=1),$$

for $u \in C_0^{\infty}(\mathbb{R}^d)$, and if A(x) is $L_{loc}^{1+\delta}$ for some $\delta > 0$, then

$$h_A^{1,m}[u,u] - m||u||^2 \uparrow h_A^{1,0}[u,u], \text{ as } m \downarrow 0(c=1),$$

for $u \in C_0^{\infty}(\mathbb{R}^d)$, with

$$0 \le h_A^{1,0}[u,u] - [h_A^{1,m}[u,u] - m||u||^2] \le 2m||u||^2$$
, for $u \in C_0^{\infty}(\mathbb{R}^d)$.

Here together with Theorems 2.2 and 2.3, we see that the convergence in the zero-mass limit is monotone as quadratic forms, while this does not seem to be valid for the convergence in the nonrelativistic limit.

Note added in proof. In another forthcoming paper: T. Ichinose and T. Tsuchida, On essential selfadjointness of the Weyl quantized relativistic Hamiltonian, it has been proved that H_A in (1.3) is essentially selfadjoint on $C_0^{\infty}(\mathbb{R}^d)$ under the assumption (1.2), so that all the assertions in Remark 1 to Theorems 2.2 and 2.3 are now true.

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